



# PRISM

## Performance and Registration Information Systems Management

# Law Enforcement Training

February 2013



PRISM Technical Support  
Volpe Center 2013



# What is PRISM?

## TWO MAJOR PROCESSES

1. Commercial Vehicle Registration
  - (IRP – International Registration Plan)
2. Motor Carrier Law Enforcement
  - Cooperative Federal/State public safety program sponsored by FMCSA
  - Links carrier safety fitness to State vehicle registration



# Commercial Vehicle Registration

- The commercial vehicle registration process provides the framework for PRISM
- Establishes link between the **Motor Carrier Responsible for Safety** and associated vehicles registered commercially
  - Plate Number (or VIN) can always be traced back to the responsible carrier for safety
  - Brings safety down to the vehicle level





# Commercial Vehicle Registration

## State Imposed Sanctions

- Deny vehicle registration when a Federal OOS order has been issued
- Ultimate Sanction: State registration suspension/revocation concurrent with the Federal order to cease interstate operations



# Law Enforcement's Role

**FMCSA** issues Federal Out-of-Service orders to unsafe motor carriers

**IRP** denies and suspends State Registrations to Federal OOS carriers

**PRISM** provides vehicles targeted for inspection due to safety reasons

**Law Enforcement's role**  
is to identify vehicles operating while under a Federal Out-of-Service Order or targeted for inspection and *take appropriate action!*





# PRISM Law Enforcement Training Topics

- Identify Motor Carrier Responsible for Safety
- Identify Federal Out-of-Service Vehicles
- Out-of-Service Actions at Roadside





# What is a Motor Carrier Responsible for Safety?

- **What is a Registrant?**
  - The entity that pays for the vehicle registration plate
  - The **Registrant** may own or lease the vehicle and is responsible for obtaining the registration
- **What is a Motor Carrier Responsible for Safety?**
  - The Motor Carrier that is responsible for the safe operation of the vehicle
  - The USDOT Number that should be displayed on the sides of the truck.
  - May or may not be the Registrant





# Identify the Motor Carrier Responsible for Safety

- The best methods to identify MCRS:
  - USDOT Number displayed on side of truck
    - Must be Active Interstate Carrier USDOT Number
  - Shipping Papers
  - Lease Agreement
  - Interview Driver to Identify Employer/Carrier
  - Cab Cards (If registered in a PRISM State)



# Short Term Leasing

- Inspectors will encounter vehicles whose MCERS changes frequently due to the carrier contracting with brokers/shippers to move freight.
- These lease agreements, some for as short as days or weeks should include MCERS information and the USDOT Number of the MCERS should be displayed on the vehicle.

# Short Term Leasing

- The MCRS changes every time a new lease is issued and the cab card issued at the time of registration should indicate that the MCRS is expected to change.
- Inspectors should be careful to identify the correct MCRS so that the crash or inspection is credited to the proper USDOT Number.





# Sample Cab Cards

- The information on the Cab Card was the best available at the time of registration and could have changed
- The following slides will show cab card examples for these three scenarios
  - Registrant and MCRS are the same
  - Registrant and MCRS are different – Not expected to change
  - Registrant and MCRS are different – Expected to change



# Registrant and MCRS are Same

## INTERNATIONAL REGISTRATION PLAN IRP CAB CARD

### Registrant

ABC TRUCKING  
500 MAIN STREET  
ANYTOWN, KY 01002

IRP NUMBER	REG YEAR	PLATE#	VIN		
527455	2012	9NS067	TEST		
REGISTRATION DATE	VEH TYPE	DECAL#	REGISTRATION ID	REGISTERED WEIGHT	
01-JUN-12	TT	850099	153047	80000	
EFFECTIVE DATE	VEH MAKE	EQUIP#	TITLE#	UNLADEN WEIGHT	
01-JUN-12	CHEV	1	1	17000	
ENFORCEMENT DATE	EXPIRATION DATE	AXLE/SEAT	VEH YEAR	FUEL	FLEET#
31-MAY-13	31-MAY-13	3	2003	D	1
OWNER/LESSOR					

Same  
Company

When there is no lease and the Carrier Responsible for Safety is **NOT** expected to change, the following info is printed on the Cab Card:

- USDOT Number
- Carrier Name
- Mailing Address

THIS VEHICLE HAS BEEN IRP APPORTIONED REGISTERED WITH THE STATE AND THE FOLLOWING IRP JURISDICTIONS AT THE WEIGHT LISTED BELOW:

KY	80000	IN	80000	OH	80000	TN	80000	**	*****	**	*****
**	*****	**	*****	**	*****	**	*****	**	*****	**	*****
**	*****	**	*****	**	*****	**	*****	**	*****	**	*****
**	*****	**	*****	**	*****	**	*****	**	*****	**	*****
**	*****	**	*****	**	*****	**	*****	**	*****	**	*****
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**	*****	**	*****	**	*****	**	*****	**	*****	**	*****

### Carrier Responsible for Safety

USDOT Number: 0123456  
ABC TRUCKING  
500 MAIN STREET  
ANYTOWN, KY 01002



# Registrant and MCRS are Different

## INTERNATIONAL REGISTRATION PLAN IRP CAB CARD

### Registrant

ABC TRUCKING  
500 MAIN STREET  
ANYTOWN, KY 01002

IRP NUMBER	REG YEAR	PLATE#	VIN		
527455	2012	9NS067	TEST		
REGISTRATION DATE	VEH TYPE	DECAL#	REGISTRATION ID	REGISTERED WEIGHT	
01-JUN-12	TT	850099	153047	80000	
EFFECTIVE DATE	VEH MAKE	EQUIP#	TITLE#	UNLADEN WEIGHT	
01-JUN-12	CHEV	1	1	17000	
ENFORCEMENT DATE	EXPIRATION DATE	AXLE/SEAT	VEH YEAR	FUEL	FLEET#
31-MAY-13	31-MAY-13	3	2003	D	1
OWNER/LESSOR					

Different  
Company

When there is a lease, but the Carrier Responsible for Safety is **NOT** expected to change, the following info is printed on the Cab Card:

- USDOT Number
- Carrier Name
- Mailing Address

THIS VEHICLE HAS BEEN IRP APPORTIONED REGISTERED WITH THE STATE AND THE FOLLOWING IRP JURISDICTIONS AT THE WEIGHT LISTED BELOW:

KY	80000	IN	80000	OH	80000	TN	80000	**	*****	**	*****
**	*****	**	*****	**	*****	**	*****	**	*****	**	*****
**	*****	**	*****	**	*****	**	*****	**	*****	**	*****
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**	*****	**	*****	**	*****	**	*****	**	*****	**	*****

### Carrier Responsible for Safety

USDOT Number: 7654321  
XYZ TRUCKING  
600 MAPLE ROAD  
OTHERTOWN, PA 21001



# MCRS is Expected to Change

## INTERNATIONAL REGISTRATION PLAN IRP CAB CARD

### Registrant

ABC TRUCKING  
500 MAIN STREET  
ANYTOWN, KY 01002

IRP NUMBER	REG YEAR	PLATE#	VIN		
527455	2012	9NS067	TEST		
REGISTRATION DATE	VEH TYPE	DECAL#	REGISTRATION ID	REGISTERED WEIGHT	
01-JUN-12	TT	850099	153047	80000	
EFFECTIVE DATE	VEH MAKE	EQUIP#	TITLE#	UNLADEN WEIGHT	
01-JUN-12	CHEV	1	1	17000	
ENFORCEMENT DATE	EXPIRATION DATE	AXLE/SEAT	VEH YEAR	FUEL	FLEET#
31-MAY-13	31-MAY-13	3	2003	D	1
OWNER/LESSOR					

THIS VEHICLE HAS BEEN IRP APPORTIONED REGISTERED WITH THE STATE AND THE FOLLOWING IRP JURISDICTIONS AT THE WEIGHT LISTED BELOW:

KY	80000	IN	80000	OH	80000	TN	80000	**	*****	**	*****
**	*****	**	*****	**	*****	**	*****	**	*****	**	*****
**	*****	**	*****	**	*****	**	*****	**	*****	**	*****
**	*****	**	*****	**	*****	**	*****	**	*****	**	*****
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**	*****	**	*****	**	*****	**	*****	**	*****	**	*****
**	*****	**	*****	**	*****	**	*****	**	*****	**	*****

### Carrier Responsible for Safety

THE CARRIER RESPONSIBLE FOR SAFETY  
IS EXPECTED TO CHANGE DURING THE  
REGISTRATION PERIOD



When there is a lease and the Carrier Responsible for Safety **IS expected to change during the registration year**, the Cab Card includes a message:



# Questions for Review

1. What is Law Enforcement's Role in the PRISM Program?
2. What is a Motor Carrier Responsible for Safety?
3. What is a Registrant?
4. What are the methods used to properly identify the Motor Carrier Responsible for Safety





# Identifying Federal Out-of-Service Carriers

**Question:** What is the key requirement for Law Enforcement in PRISM States?

**Answer:** Identify carriers operating while under a Federal Out-of-Service Order.

*...check EVERY vehicle, EVERY time for  
Federal Out-of-Service!*



# FMCSA Out-of-Service Reasons

FMCSA OOS Reasons
* Imminent Hazard
* Unsatisfactory/Unfit Motor Carrier
Failure to Pay Fines due to Safety Violation
New Entrant Revoked - Expedited Actions
New Entrant Revoked - Failure of Safety Audit
New Entrant Revoked - Refusal of Safety Audit/No Contact

\* These Out-of-Service orders also apply to INTRASTATE commerce



# Interstate and Intrastate OOS

U.S. Department of  
Transportation

1800 Century Boulevard, Suite 1700  
Atlanta, GA 30345

**Federal Motor Carrier  
Safety Administration**

Phone: (404) 327-7400  
Fax: (404) 327-7349

**Southern Service Center**

June 17, 2011

RICHARD KELLY, OWNER  
AGAPE TRANSPORTATION LLC  
PO BOX 8923  
MOSS POINT, MS, 39562

## ORDER TO CEASE ALL TRANSPORTATION IN INTERSTATE AND INTRASTATE COMMERCE AND REVOCATION OF REGISTRATION

EFFECTIVE Monday, June 27, 2011 at 12:01 am

USDOT# 1856412

This **Order to Cease All Transportation in Interstate and Intrastate Commerce (Order) and Revocation of Registration** is issued pursuant to 49 USC §§ 13905(f)(1)(B) and 31144, and 49 CFR § 385.13.

This **Order** is the result of a compliance review of AGAPE TRANSPORTATION LLC's operations completed on May 12, 2011. The review disclosed serious violations of the Federal Motor Carrier Safety Regulations and/or the Hazardous Materials Regulations.

AGAPE TRANSPORTATION LLC, USDOT# 1856412, was issued a proposed "unsatisfactory" safety rating on May 12, 2011. AGAPE TRANSPORTATION LLC was notified to take certain actions within 45 days from the date of that proposed rating to improve its safety rating to "conditional" or "satisfactory". AGAPE TRANSPORTATION LLC was further advised that it would be ordered to cease any and all operation of any commercial motor vehicle(s) in interstate and intrastate commerce and its registration would be revoked unless its safety rating was improved to "conditional" or "satisfactory".

AGAPE TRANSPORTATION LLC has failed to take the necessary steps required to improve its safety rating to "conditional" or "satisfactory" within the required timeframe.

THEREFORE, ***IT IS ORDERED*** THAT AGAPE TRANSPORTATION LLC SHALL CEASE ALL OPERATION OF ANY COMMERCIAL MOTOR VEHICLE(S) IN INTERSTATE AND INTRASTATE COMMERCE ON THE EFFECTIVE DATE AND TIME OF THIS ORDER, AND THE REGISTRATION OF AGAPE TRANSPORTATION LLC SHALL BE REVOKED UNLESS AND UNTIL SUCH TIME AS THE FEDERAL MOTOR CARRIER SAFETY ADMINISTRATION



# FMCSA Tools to Identify OOS

Tools Available	Access	Data Timeliness
Query Central	Online via FMCSA Portal	Real-Time
Nlets	State ACQ/AVQ Queries	Up to 24 hrs.
ISS	Download from InfoSys	Monthly
CVIEW	State CVISN System	Up to 24 hrs.

Note: The SAFER website does provide real-time OOS information for **Active** USDOT Numbers only. If the USDOT Number is inactive and OOS, the SAFER website will not display the OOS alert message, it will just display INACTIVE.





# Query Central

- Query Central is available via the FMCSA Portal and gives real-time Out-of-Service Carrier status directly from MCMIS
- Supports inquiries by:
  - USDOT Number
  - Carrier Name
  - VIN Number
  - State/Plate



# Query Central – Carrier Data



U.S. Department of Transportation  
Federal Motor Carrier Safety Administration  
Query Central



**CARRIER  
SUMMARY**

[Driver](#) | [Vehicle](#) | [Carrier](#)

[Help](#) | [Home](#)

[Carrier Summary](#) | [Carrier Detail](#) | [Violation History](#) | [13 Past Inspection\(s\)](#) | [PRISM](#) | [Summary Report](#)

**Real-Time  
OOS Status**

**ALERT: Carrier is currently under a Federal OOS**

<b>OOS Date:</b> 08/29/2012	<b>State:</b>	<b>Reason:</b> UNSATISFACTORY = UNFIT	<b>Rescinded Date:</b>
For further information, please contact the appropriate <a href="#">FMCSA Service Center</a> .			
<a href="#">Click here</a> to review a reference to the applicable section of 49 CFR			

### Motor Carrier Identification & Safety Data

**Please Note: You can now access L&I detail by clicking on the MC/MX number(s).**

<b>Legal Name:</b>	FIVE SONS EXPRESS INC	<b>USDOT#:</b>	2108606
<b>DBA Name:</b>		<b>MC/MX#:</b>	<b>735243</b>
<b>Physical Address:</b>	30103 SW 152ND AVE HOMESTEAD, FL 33033	<b>Phone#:</b>	(305)747-5660
<b>Country of Domicile:</b>	UNITED STATES	<b>Fax#:</b>	(502)690-8007
<b>Mailing Address:</b>	30103 SW 152ND AVE HOMESTEAD, FL 33033	<b>Status of USDOT #:</b>	ACTIVE
		<b>Entity:</b>	CARRIER

**Inspection Value: 100 - INSPECT**

Inspection Value is based on Safety data.



# Query Central – OOS CFR List

**ALERT: Carrier is currently under a Federal OOS**

<b>OOS Date:</b> 08/29/2012	<b>State:</b>	<b>Reason:</b> UNSATISFACTORY = UNFIT	<b>Rescinded Date:</b>
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For further information, please contact the appropriate [FMCSA Service Center](#).  
[Click here](#) to review a reference to the applicable section of 49 CFR

https://portal.fmcsa.dot.gov/?SID=81B21C05-5DF0-4001-97A8-A7717FDDAA68 - ...

U.S. Department of Transportation  
**Federal Motor Carrier Safety Administration**  
**Query Central**

[Back to Carrier Summary](#)

OOS Description	Section Number
Failure to Pay Fine - Private Carrier	386.83(a)(1)
Failure to Pay Fine - For-Hire Carrier	386.84(a)(1)
UNSAT/UNFIT - Placarded HM & Passenger Carriers	385.13(a)(1)
UNSAT/UNFIT - Property Carriers	385.13(a)(2)
New Entrant - Failure of Safety Audit	385.325(c)
New Entrant - Refusal of Audit/No Contact	385.337(b)
New Entrant - Expedited Actions	385.308
Imminent Hazard – Motor Carrier	386.72(b)(4)
Imminent Hazard – Intermodal Equipment Provider	386.72(b)(4)
MX carrier (inadequate corrective action)	385.105(b)
MX carrier UNSAT/UNFIT	385.111(a)
MX carriers (suspended operating authority for UNSAT rating or failed Safety Audit)	385.111(c)(1)
MX carriers (revoked operating authority)	385.111(c)(2)

MC/MX number(s).

<b>USDOT#:</b>	2108606
<b>MC/MX#:</b>	735243
<b>Phone#:</b>	(305)747-5660
<b>Fax#:</b>	(502)690-8007
<b>Status of USDOT #:</b>	ACTIVE
<b>Entity:</b>	CARRIER

**SPECT**

is requested.

Trusted sites | Protected Mode: Off

List of Chapter 49 CFR OOS Section Numbers



# Query Central – Vehicle Data



## CARRIER SUMMARY

Driver **Vehicle** Carrier
Help Home

[Carrier Summary](#) | [Carrier Detail](#) | [Violation History](#) | [4 Past Inspection\(s\)](#) | **PRISM** | [Summary Report](#)

**ALERT: Carrier is currently under a Federal OOS**

OOS Date: 06/21/2012	State:	Reason: <b>NEW ENTRANT REVOKED - FAILURE OF SAFETY AUDIT</b>	Rescinded Date:
For further information, please contact the appropriate <a href="#">FMCSA Service Center</a> .			
<a href="#">Click here</a> to review a reference to the applicable section of 49 CFR			



## CARRIER PRISM SUMMARY

Driver **Vehicle** Carrier
Help Home

[Carrier Summary](#) | [Carrier Detail](#) | [Violation History](#) | [4 Past Inspection\(s\)](#) | **PRISM** | [Summary Report](#)

**PRISM Information for: DAVID P PINNEY**

MCSIP Step:	64 -- Federal OOS: New Entrant-Failure of Safety Audit
Tax ID#:	EIN: XXXXXXXXXX
MCS-150 Date:	12/21/2011

**Vehicle Information**



**PRISM Targeted Vehicle Information**

VIN	Plate #	State	Make	Model Year	Registration Start Date	Registration Expiration Date	Status
<a href="#">1FU Y3ECB9MH505281</a>	SF9256	IA	FRHT	1991	01/01/2012	12/31/2012	STATE SUSPENSION DUE TO FEDERAL OOS
1WUYDCJE2HN120747	SG1386	IA	WHIT	1987	01/01/2012	12/31/2012	STATE SUSPENSION DUE TO FEDERAL OOS
2XKWD29X0JM502882	SF2520	IA	KW	1988	01/01/2012	12/31/2012	STATE SUSPENSION DUE TO FEDERAL OOS

Click on PRISM link to view vehicle data

# Query Central – State Suspension due to Federal OOS

VEHICLE  
PRISM  
SUMMARY

[Driver](#) | [Vehicle](#) | [Carrier](#)

[Back to Vehicle Summary](#)

**\*New\***  
**Vehicle Status**

PRISM Vehicle Information For: 1FUY3ECB9MH505281

USDOT#	VIN	Plate#	State	Make	Model Year	Registration Start Date	Registration Expiration Date	Target Indicator	Status
<a href="#">2094354</a>	1FUY3ECB9MH505281	SF9256	IA	FRHT	1991	01/01/2012	12/31/2012	Federal OOS	STATE SUSPENSION DUE TO FEDERAL OOS

**ALERT: This vehicle is also under a State Suspension due to a Federal Out-of-Service Order. Please confirm with State.**

Note: If the vehicle registration has been suspended by the State, and the 950 IRP Status Code is applied to the record, the Status column will display the following alert message: **STATE SUSPENSION DUE TO FEDERAL OOS**



# Query Central – Vehicle Registration Status

## Example of Active Vehicle Registration

Current  
Registration

PRISM Vehicle Information For: MB3155 NC

USDOT#	VIN	Plate#	State	Make	Model Year	Registration Start Date	Registration Expiration Date	Target Indicator	Status
<a href="#">1027111</a>	4V4NC9TG13N333135	MB3155	NC	VOLV	2003	08/13/2012	07/31/2013	Targeted	ACTIVE

## Example of an Inactive Vehicle Registration

Expired  
Registration

PRISM Vehicle Information For: MA6684 NC

USDOT#	VIN	Plate#	State	Make	Model Year	Registration Start Date	Registration Expiration Date	Target Indicator	Status
<a href="#">1931417</a>	1FVAAHCG91LH70746	MA6684	NC	FRHT	2001	10/26/2010	09/30/2011	Federal OOS	INACTIVE

Note: If the vehicle registration has expired, the Status column will display the message: **INACTIVE**



# Query Central –

## Try to obtain an address when QC shows address as undeliverable

<a href="#">Driver</a>   <a href="#">Vehicle</a>   <a href="#">Carrier</a>	<a href="#">Help</a>   <a href="#">Home</a>	
<a href="#">Carrier Summary</a>   <a href="#">Carrier Detail</a>   <a href="#">Violation History</a>   <a href="#">2 Past Inspection(s)</a>   <a href="#">PRISM</a>   <a href="#">Summary Report</a>		
<b>ALERT: Carrier is currently under a Federal OOS</b>		
<b>OOS Date:</b> 04/07/2003	<b>State:</b> <b>Reason:</b> UNSATISFACTORY = UNFIT	<b>Rescinded Date:</b>
For further information, please contact the appropriate <a href="#">FMCSA Service Center</a> .		
<a href="#">Click here</a> to review a reference to the applicable section of 49 CFR		
<b>Motor Carrier Identification &amp; Safety Data</b>		
<b>Please Note: You can now access L&amp;I detail by clicking on the MC/MX number(s).</b>		
<b>Legal Name:</b>	WHITE EAGLE TRUCKING INC	<b>USDOT#:</b> 771681
<b>DBA Name:</b>		<b>MC/MX#:</b> <b>346018</b>
<b>Physical Address:</b>	6853 CHRISPHALT DR BATH, PA 07002	<b>Phone#:</b> (610)837-9264
<b>Country of Domicile:</b>	UNITED STATES	<b>Fax#:</b> (610)837-8647
<b>Mailing Address:</b>	PO BOX 301 BATH, PA 18014	<b>Status of USDOT #:</b> INACTIVE
		<b>Entity:</b> CARRIER
<b>Inspection Value: 100 - INSPECT</b>		
Inspection Value is based on lack of safety performance data.		
<b>ALERT: This carrier is not currently active. An inspection is recommended.</b>		
<b>ALERT: This motor carrier may have an undeliverable address. Please inform the motor carrier they should submit an updated Form MCS-150 with their current address per 390.19 and 368.4 where applicable.</b>		

**Undeliverable Address  
Alert Message**



# Is Operating Authority Required?

- Interstate for-hire carriers of regulated property & passengers require operating authority (An ACTIVE MC number)
- Operating Authority Not Required for:
  - Intrastate Transportation
  - Private Property Transportation
  - Transportation of Exempt Commodities



# Exempt vs. Non-Exempt Commodities

- Generally, raw, unprocessed commodities are exempt from operating authority.
  - See Admin Rule 119 “Composite Commodity List”
  - Exemptions in Part 372 of the FMCSR’s
  - Transportation in “Commercial Zones”  
(See Part 372 Subpart B and also Appendix F)
  - U.S. Mail, Air Freight, Newspapers, Woodchips, and Garbage (NOT including scrap/recyclables) also exempt



# Checking Query Central for Authority

- Verify there is an MC/MX#. The following carrier has NO AUTHORITY

U.S. Department of Transportation  
Federal Motor Carrier Safety Administration  
Query Central

CARRIER SUMMARY

Driver Vehicle Carrier Help Home

Carrier Summary Carrier Detail Violation History No Past Inspections PRISM Summary Report

Motor Carrier Identification & Safety Data

Please Note: You can now access L&I detail by clicking on the MC/MX number(s).

Legal Name:	UNIQUE LIMOUSINES INC	USDOT#:	23 3951
DBA Name:		MC/MX#:	
Physical Address:	273 GLIDDEN RD BRAMPTON, ON L6W 1H9	Phone#:	(416)659-9652
Country of Domicile:	CANADA	Fax#:	
Mailing Address:	139 WHITWELL DR BRAMPTON, ON L6P 1L2	Status of USDOT #:	ACTIVE
		Entity:	CARRIER

Inspection Value: 74 - OPTIONAL  
Inspection Value is based on Safety data.

ALERT: This carrier is a new entrant. An inspection is requested.

Visit the [SAFER Website](#) for additional information.

NO MC#!!



# Checking Query Central for Authority Issues

- Clicking on an MC # to check authority

U.S. Department of Transportation  
Federal Motor Carrier Safety Administration  
Query Central

CARRIER SUMMARY

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[Carrier Summary](#) [Carrier Detail](#) [Violation History](#) [No Past Inspections](#) [PRISM](#) [Summary Report](#)

Motor Carrier Identification & Safety Data

Please Note: You can now access L&I detail by clicking on the MC/MX number(s)

Click the Link

Legal Name:	WAW TRUCKING INC	USDOT#:	551137
DBA Name:		MC/MX#:	<a href="#">319209</a>
Physical Address:	18 SOUTHLAND DRIVE ROCHESTER, NY 14623	Phone#:	(585)292-1325
Country of Domicile:	UNITED STATES	Fax#:	
Mailing Address:	18 SOUTHLAND DRIVE ROCHESTER, NY 14623	Status of USDOT #:	INACTIVE
		Entity:	CARRIER

Inspection Value: 59 - OPTIONAL  
Inspection Value is based on lack of safety performance data.

**ALERT: This carrier is not currently active. An inspection is recommended.**

Visit the [SAFER Website](#) for additional information.

# Checking Query Central for Authority Issues

- Verify Authority On the Next Screen





## LICENSE & INSURANCE SUMMARY

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[Carrier Summary](#) | [Carrier Detail](#) | [Violation History](#) | [L&I Detail](#) | [No Post Inspections](#) | [PRISM](#) | [Summary Report](#)

**ALERT: This carrier may not have valid operating authority.**

## Authority Problem Identified

License & Insurance Information for: **W.A.W. TRUCKING, INC.**

Common Authority		Contract Authority		Broker Authority	
Status:	NONE	Status:	INACTIVE	Status:	NONE
Application Pending:	NO	Application Pending:	NO	Application Pending:	NO
Revocation Pending:	NO	Revocation Pending:	NO	Revocation Pending:	NO

Operating Authority Types		Insurance Amounts			
Property:	YES	Liability Required:	\$750,000	Liability on File:	\$0
Passenger:	NO	Cargo Required:	\$0	Cargo on File:	\$0
Household Goods:	NO	Bond Required:	\$0	Bond on File:	\$0

BOC-3: YES

Blanket Company: [OWNER OPERATOR SERVICES, INC.](#)

[L&I Website Information](#)

## Select L&I Link to Verify



# Operating Authority – CVSA OOS Criteria

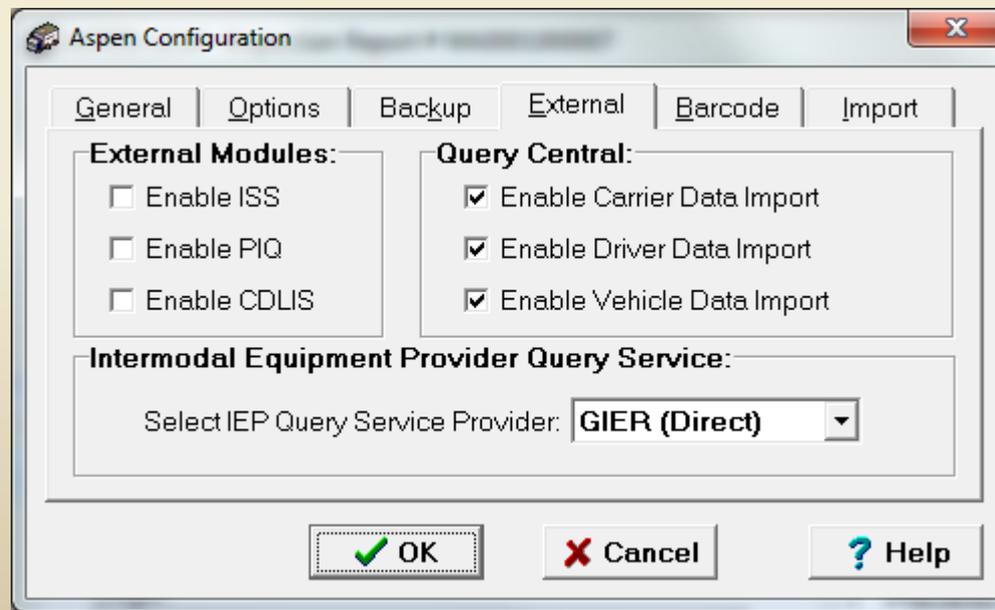
## CVSA North American OOS Criteria Manual Part IV – Administrative

- **OPERATING AUTHORITY** - Operating a motor vehicle without the required operating authority or beyond the scope of the motor carriers' operating authority.
  - Authority Required - 392.9a(a)(1)
  - Beyond Scope - 392.9a(a)(2)
- **Declare vehicle out-of-service until proper operating authority is obtained.**



# Query Central – Download Data into ASPEN

- Data can be imported from QC into ASPEN
- In the ASPEN Configuration screen, under the “External” tab, check the QC import boxes



# Query Central – Download Data into ASPEN

**Motor Carrier Identification & Safety Data**

**Please Note: You can now access L&I detail by clicking on the MC/MX number(s).**

<b>Legal Name:</b>	<b>FAIRCHILD TRANSPORTATION SERVICES INC</b>
<b>DBA Name:</b>	
<b>Physical Address:</b>	<b>10330 LOFTIN DR OLIVE BRANCH, MS 38654</b>
<b>Country of Domicile:</b>	<b>UNITED STATES</b>
<b>Mailing Address:</b>	<b>10330 LOFTIN DR OLIVE BRANCH, MS 38654</b>

**Inspection Value: 70 - OPT**  
Inspection Value is based on lack of safety performance

**ALERT: This carrier is a new entrant. An inspection**

Visit the [SAFER Website](#) for additional information

Click [here](#) for UCR information regarding this carrier

**Download Data for ASPEN**

ASPEN v2.14 - Inspection Report # MA0001000008

File Modules QueryCentral Barcode Tools Help

Start Location Carrier Driver

**Carrier Information:**

USDOT #:	Name of Motor Carrier:	
01234343	FAIRCHILD TRANSPORTATION SERVICES INC	
MC/MX #:	Street Address:	
775826	10330 LOFTIN DR	
State #:	State:	City:
	MS	OLIVE BRANCH

**Cargo Information:**

Origin:	
Destination:	
Bill of Lading:	
Shipper Name:	

FAIRCHILD TRANSPORTATION SERVICES INC

Information

 Carrier Data Successfully Imported!

OK





# Student Hands-On Review of Query Central

(Testing data to be provided by PRISM Technical Support)

- 1) Enter a USDOT Number that is under a Federal Out-of-Service Order and review the Carrier Summary Screen. Use the “Click here” link to access the CFR 49 section numbers. Identify which section number would be used in this case.
- 2) Click on the “PRISM” link from the Carrier Summary Screen to access the vehicle information associated with that carrier (Carrier PRISM Summary Screen).
- 3) Enter a VIN that is “Targeted” by PRISM and review info provided in the PRISM Vehicle Summary Page. From this page, navigate back to the Carrier Summary Screen
- 4) Enter vehicles with different registration status and review the “Status” column. (Suspended, Active, Inactive)
- 5) Enter any USDOT Number into the Carrier Search field. Once the data is returned, practice downloading the data from Query Central to ASPEN  
(see *PRISM LE Manual pages 18-23 for step-by-step screenshots*)



# Nlets

- Nlets hosts the PRISM Target File
  - Includes carriers and vehicles under a Federal Out-of-Service order or targeted for inspection by FMCSA for safety reasons
- PRISM Specific Queries:
  - ACQ (Carrier Queries) - USDOT Number
  - AVQ (Vehicle Queries) - VIN or State/Plate



# Nlets – Carrier Data

## Nlets Carrier (ACQ) Response Sample - OOS

**MSG FROM NLETS 3745  
ACR.AVLIC0000. TO:DEST PA07710B5  
\*MRI0056917.  
TXT**

**PRISM Target File  
Alert Message**

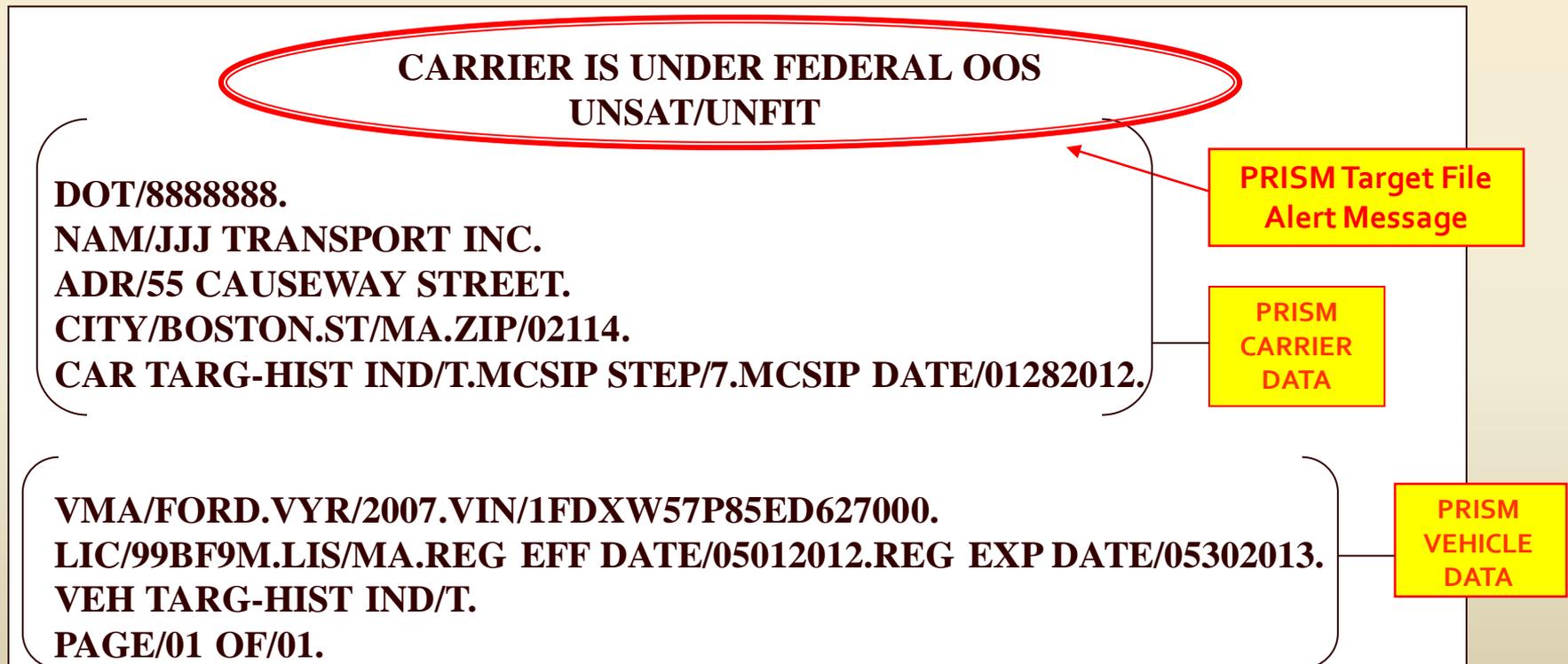
**CARRIER IS UNDER FEDERAL OOS.  
IMMINENT HAZARD.**

**DOT/99999999.  
NAM/ABC CARTAGE INC.  
ADR/25 MAIN STREET.  
CITY/PITTSBURGH.ST/PA.ZIP/15204.  
CAR TARG-HIST IND/T.MCSIP STEP/54.MCSIP DATE/01252012**



# Nlets – Vehicle Data

## Nlets Vehicle (AVQ) Response Sample - OOS



# Nlets – Vehicle Data

## Nlets Vehicle (AVQ) Response Sample - Targeted

**CARRIER IS TARGETED FOR INSPECTION.**

**DOT/88888888.  
NAM/JJJ TRANSPORT INC.  
ADR/55 CAUSEWAY STREET.  
CITY/BOSTON.ST/MA.ZIP/02114.  
CAR TARG-HIST IND/T.MCSIP STEP/7.MCSIP DATE/01282012.**

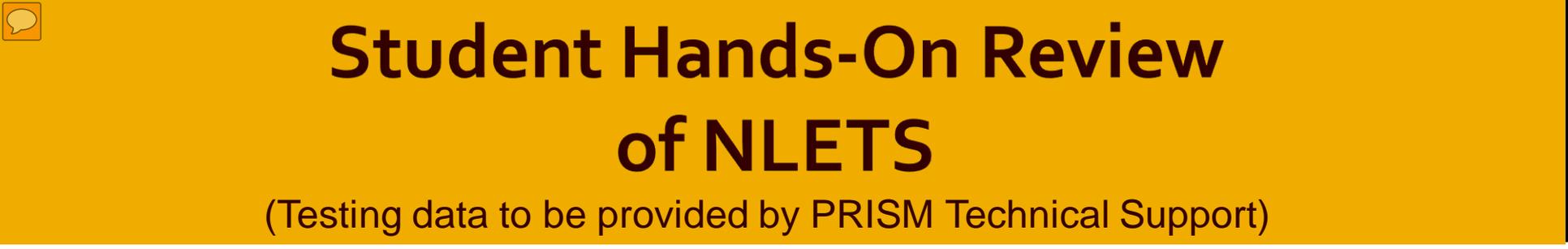
**PRISM Target File  
Alert Message**

**PRISM  
CARRIER  
DATA**

**VMA/FORD.VYR/2007.VIN/1FDXW57P85ED627000.  
LIC/99BF9M.LIS/MA.REG EFF DATE/05012011.REG EXP DATE/05302012.  
VEH TARG-HIST IND/T.  
PAGE/01 OF/01.**

**PRISM  
VEHICLE  
DATA**





# Student Hands-On Review of NLETS

(Testing data to be provided by PRISM Technical Support)

- 1) Enter a USDOT Number that is under a Federal Out-of-Service Order and perform a PRISM-NLETS Carrier Query (ACQ)
- 2) Enter a USDOT Number that is NOT under a Federal OOS, but is targeted for inspection by PRISM, and perform a PRISM-NLETS Carrier Query (ACQ)
- 3) Enter a License Plate/State of a vehicle associated with a motor carrier that is currently under a Federal OOS and perform a PRISM-NLETS Vehicle Query (AVQ).
- 4) Enter a License Plate/State of a vehicle associated with a motor carrier that is targeted for inspection by PRISM and perform a PRISM-NLETS Vehicle Query (AVQ).



# ISS – Inspection Selection System

- ISS updated monthly. Confirm the OOS Status with a more recent data source or call FMCSA Office

ISS Inspection Selection System v2.14 - USDOT # 02094354

File Actions Help

Main BASICS Details Violation Details History

**Carrier Search:**  
Search Type: **USDOT#** Number: **02094354** Search by Name

**Carrier Information:**  
Name: **DAVID P PINNEY**  
DBA: **OLD SCHOOL CONTRACTING**  
Street: **30161 FOREST RD**  
City: **HINTON** State: **IA** Zip: **51024**  
Phone: **(712)560-5781** Country of Domicile: **UNITED STATES**

**Inspection Value:**  
100 **HM**  
**Inspect**

**Expert:**  
This carrier may be under a Federal Out-of-Service order (New Entrant - Failure of Safety Audit), and prohibited from operating in interstate commerce. Please confirm if this order is still in effect. If so, place the vehicle Out-of-Service.

Inspection Value is based on Safety data. Data current as of 10/07/2012



# Questions for Review

5. What is the best tool to identify FMCSA Out-of-Service Carriers in real time?
6. If you have Nlets access, but no Internet connection, can you still check the Targeted status of a vehicle?
7. Why are vehicles targeted for inspection by FMCSA?





What actions should be taken  
at the roadside after identifying  
a Federal OOS Vehicle?



# OOS Actions at Roadside

- If an OOS Vehicle is identified take appropriate action by conducting a MCSAP Inspection and place the vehicle Out-of-Service
- Follow State law and your department's regulations in regards to placing the vehicle Out-of-Service
- FMCSA has provided guidance to the members of CVSA regarding the enforcement of OOS Orders.
  - The Guidelines can be downloaded from the PRISM website



# OOS Actions at Roadside

## What if the OOS order cannot be verified?

- Document the stop by obtaining photocopies of the following info:
  - Drivers log book and CDL License
  - Shipping Papers / Lease Agreement
  - Origin and destination of trip
  - Interview Driver to Identify Employer/Carrier
  - IRP Registration cab card
  
- Forward information to local FMCSA office
  - After-the-fact action can be taken by FMCSA, penalties and sanctions can be applied.





# Questions for Review

8. What is the appropriate action to take if you determine that a vehicle is being operated in Interstate Commerce while under a Federal Out-of-Service Order?
9. What is the appropriate action to take if you cannot verify if the Out-of-Service Order is still currently in effect?
10. Why check the safety status of every vehicle you inspect every time?



# You Can Make a Difference in Safety

- Be vigilant and take every opportunity to identify Out-of-Service vehicles and **take appropriate action**
- The safety of all users of the highways is affected when FMCSA Out-of-Service carriers and vehicles continue to operate in Interstate commerce

