Public Involvement Plan
Contents

1 INTRODUCTION ..................................................................................................................5
2 DISTRICTS & CONTACTS .....................................................................................................6
3 OVERVIEW ..........................................................................................................................7
4 STATUTORY REQUIREMENTS ...............................................................................................8
5 PLANNING & PROGRAMMING ...........................................................................................9
  5.1 LONG-RANGE TRANSPORTATION POLICY PLAN—TRANPLANMT .................................. 10
  5.2 STATEWIDE TRANSPORTATION IMPROVEMENT PROGRAM ........................................ 10
  5.3 LOCAL TRANSPORTATION COORDINATING COMMITTEES ............................................... 11
  5.4 NON-METROPOLITAN LOCAL OFFICIAL CONSULTATION PROCESS ............................ 11
  5.5 HIGHWAY SAFETY IMPROVEMENT PROGRAM ............................................................. 11
  5.6 OFF-SYSTEM BRIDGE ..................................................................................................... 11
  5.7 CORRIDOR PLANNING STUDIES ...................................................................................... 12
  5.8 PROPOSED HIGHWAY PROJECTS ................................................................................ 12
  5.9 TRANSPORTATION COMMISSION ................................................................................ 12
  5.10 AERONAUTICS BOARD ................................................................................................ 12
  5.11 ONGOING PUBLIC INVOLVEMENT STRATEGIES FOR PLANNING AND PROGRAMMING .. 13
6 PROJECT DEVELOPMENT & CONSTRUCTION ....................................................................15
  6.1 PUBLIC INVOLVEMENT – PROJECTS APPROVED BY TRANSPORTATION COMMISSION PRIOR TO JULY 1, 2017 .................................................................................................. 16
  6.2 PUBLIC INVOLVEMENT - PROJECTS APPROVED BY TRANSPORTATION COMMISSION AFTER JULY 1, 2017 ........................................................................................................ 19
7 NEPA/MEPA COMPLIANCE ................................................................................................ 21
  7.1 CATEGORICAL EXCLUSION (CE) ...................................................................................... 21
  7.2 ENVIRONMENTAL ASSESSMENT (EA)/FINDING OF NO SIGNIFICANT IMPACT (FONSI) .......... 21
  7.3 ENVIRONMENTAL IMPACT STATEMENT (EIS)/ RECORD OF DECISION (ROD) .................. 23
  7.4 PUBLIC INVOLVEMENT DOCUMENTATION ..................................................................... 25
8 PUBLIC INVOLVEMENT EVALUATION ...............................................................................26
9 APPENDIX A LAWS, REGULATIONS & GUIDANCE ............................................................ 27
10 APPENDIX B PUBLIC INVOLVEMENT METHODS ........................................................... 31
MONTANA DEPARTMENT OF TRANSPORTATION
MISSION

To serve the public by providing a transportation system and services that emphasize quality, safety, cost effectiveness, economic vitality and sensitivity to the environment.
1 INTRODUCTION

Engaging the public early and throughout the transportation decision-making process is key to the Montana Department of Transportation (MDT) mission. Meaningful public involvement efforts build trust and credibility for the department and enhance the awareness and understanding of MDT actions and business practices.

Working continually, cooperatively, and comprehensively with the public and stakeholders allows MDT to deliver transportation solutions that improve safety and efficiency, protect natural and human environments, and contribute to community vitality and general well-being.

The Constitution of the State of Montana provides for the right to participate and the right to know, and there are numerous other state and federal requirements for public involvement. However, public involvement is more than statutory requirements. This process is vital to good decision-making, acceptance of ideas, reducing misunderstanding, and avoiding and resolving conflict.

Capturing the public’s interest takes effort and continual attention. Engaging the public starts with MDT’s long-range planning and continues through construction and operation. When done well, involving the public contributes to a well-planned and implemented transportation system.

The purpose of the Public Involvement Plan is to document MDT’s public involvement process. This plan provides guidance to MDT staff, consultants, and subrecipients to offer opportunities for public input at key decision points in planning and project development. Included are statutory requirements, levels of involvement and environmental review, plus methods to garner public input in transportation planning, programming, and project development. This document presents information for MDT and subrecipients to meet or exceed public involvement requirements for federally funded projects. Montana statutory requirements for public participation are also discussed.

The methods described in this plan are not intended to restrict consideration or use of other methods to engage the public in transportation decision-making. Conditions vary, so good judgment must be exercised on individual projects to identify possible limitations and opportunities to involve the public in order to deliver a quality transportation system. Keep in mind, requirements change periodically, especially with new Federal Surface Transportation Authorizations.

This plan may be helpful to the public in understanding MDT’s processes in planning and project development but is not intended to serve as a guide for providing input. The Federal Highway Administration’s (FHWA) publication, A Guide to Transportation Decisionmaking https://www.fhwa.dot.gov/planning/publications/transportation_decision_making/decisionmaking.pdf is a resource for the public.

MDT strives to deliver a statewide, interconnected transportation network that includes roadways, airways, airports, railways, traffic safety, public transit, and bicycle and pedestrian accommodations.

Including the public is vital as the department continues partnering, carefully planning, investing in existing assets, and seeking funding solutions that will provide for a reliable transportation system long into the future.

Safely moving Montanans to work, healthcare, shopping, recreation, and elsewhere alongside raw and finished products being transported in and out of state is critical to daily life and a thriving economy.
2 DISTRICTS & CONTACTS

Missoula District
P.O. Box 7039, Missoula, 59807-7039
(406) 523-5800 or toll-free (888) 231-5819

District 2 – Butte
P.O. Box 3068, Butte, MT 59702-3068
(406) 494-9600 or toll-free (800) 261-6909

District 3 – Great Falls
P.O. Box 1359, Great Falls, MT 59403-1359
(406) 454-5880 or toll-free (888) 730-0898

District 4 – Glendive
P.O. Box 890, Glendive, MT 59330-0890
(406) 345-8200 or toll-free (888) 689-5296

District 5 – Billings
P.O. Box 20437, Billings, MT 59104-0437
(406) 252-4138 or toll-free (888) 863-8465

Helena Headquarters
P.O. Box 201001, Helena, MT 59620-1001
(406) 444-6200

Aeronautics Division
PO Box 200507, Helena, MT 59620-0507
(406) 444-2506

Rail, Transit & Planning Division
P.O. Box 201001, Helena, MT 59620-1001
(406) 444-3423 or toll-free (800) 714-7296

Motor Carrier Services
P.O. Box 201001, Helena, MT 59620-1001
(406) 444-6130

TTY
Toll-free (800) 335-7592 or Montana Relay Service 711
3 OVERVIEW

The concept of public involvement for the purpose of this plan is the two-way communication between government and citizens. More specifically, it means MDT provides information to the public for review and considers input from the public. Public involvement includes providing the public with information at key decision points and providing opportunities for input planning and project development.

The department adopted the public involvement goals listed at the right to support the intended objectives of public involvement requirements. These goals should be considered and reflected in the planning and implementation of public involvement for proposed MDT projects. In addressing these goals, MDT must ensure the needs of all affected parties, including traditionally underserved groups (e.g., those with disabilities, minority and ethnic groups, low-income groups, and those with limited English proficiency) are identified and accommodated.

MDT’s public involvement can be identified in phases:

- Planning and programming and
- Project development and construction

The planning and programming phase considers statewide, systems-level, metropolitan, non-metropolitan, transit, and aeronautics actions. The project development phase centers on specific highway improvement projects. Both phases provide opportunities for the public to review and provide input in shaping decisions.

MDT PUBLIC INVOLVEMENT GOALS

- Provide useful, timely information to the public throughout the development of projects, from planning, programming, and preliminary engineering approval through construction, operation, and maintenance.
- Proactively seek public comment and involvement in planning and project development.
- Facilitate open discussion of controversial issues.
- Respond to comments and suggestions.
- Ensure public comments are fully considered so that useful ideas are incorporated into projects given availability of resources, policy constraints, and as appropriate.
4 STATUTORY REQUIREMENTS

Early and ongoing public involvement are key components of the process for developing proposed projects involving federal and state funding. In 1991, the Intermodal Surface Transportation Efficiency Act (ISTEA) extended the opportunity for public involvement into the transportation planning process. Subsequent legislation—the Transportation Equity Act for the 21st Century (TEA-21) in 1998; the Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (SAFETEA-LU) in 2005; Moving Ahead for Progress in the 21st Century (MAP-21) in 2012; and Fixing America’s Surface Transportation Act (FAST Act) in 2015 continued to broaden opportunities for public participation in transportation decision-making.

Public involvement requirements include:

- Title 23, Section 134/135, U.S.C., requiring a statewide intermodal transportation planning process
- Title 49, U.S.C., Section 5304, Transit
- Council on Environmental Quality (CEQ) Regulations
- FHWA Regulations for implementing the National Environmental Policy Act (NEPA) (42 U.S.C. 4321, et seq.)
- Title VI of the 1964 Civil Rights Act requiring non-discriminatory public participation processes
- Montana Constitution Article II – Declaration of Rights; Section 8, Right of Participation; Section 9, Right to Know
- Montana Code Annotated (MCA) 75-1-101, et seq, Montana Environmental Policy Act (MEPA)
- MCA 60-2-245, regarding construction projects of substantial impact on the public

See Appendix A for more information on statutory requirements.

TERMS as DEFINED in 23 C.F.R. 450

Consideration means that one or more parties take into account the opinions, action, and relevant information from other parties in deciding or determining a course of action.

Consultation means that one or more parties confer with other identified parties in accordance with an established process and, prior to taking action(s), considers the views of the other parties and periodically informs them about action(s) taken. This definition does not apply to the “consultation” performed by the states and the MPOs in comparing the long-range statewide transportation plan and the metropolitan transportation plan, respectively, to State and Tribal conservation plans or maps or inventories of natural or historic resources (see Section 450.214(i) and Section 450.322(g)(1) and (g)(2)).

Cooperation means that the parties involved in carrying out the transportation planning and programming processes work together to achieve a common goal or objective.

Coordination means the cooperative development of plans, programs, and schedules among agencies and entities with legal standing and adjustment of such plans, programs, and schedules to achieve general consistency, as appropriate.
5 PLANNING & PROGRAMMING

In the planning and programming phase, public involvement applies to statewide, systems-level, metropolitan, non-metropolitan, corridor studies, transit, and aeronautics actions. MDT’s Rail, Transit and Planning Division (Planning Division) is the lead unit for these public involvement and planning activities with the exception of aeronautics, for which the Aeronautics Division is the lead unit.

The Planning Division provides a broad range of planning functions and services that assist state, tribal, local, and federal officials in selecting projects and provides information for short- and long-range construction and grant programs. Some of these activities include:

- **TranPlanMT**— MDT’s long-range transportation policy plan
- **Performance measurement using management systems and the Performance Programming Process (Px3)**
- **Transportation Asset Management Plan (TAMP)**
- **Statewide Transportation Improvement Program (STIP)**
- **Pre-NEPA Corridor Planning Process**
- **Comprehensive Highway Safety Plan**
- **Traffic data collection and analysis**
- **Road inventory and mapping**
- **Transit and urban funding administration**
- **Coordination of planning issues statewide and in Montana’s urban areas**
- **Non-metropolitan local official consultation process**

**SOURCES of INPUT for PLANNING & PROGRAMMING**

**Citizens**

**Transportation professionals** – including city and county planners, city and county engineers, metropolitan planning organizations, public works directors, transit providers

**Stakeholder groups** – including groups with special interests such as bicycle/pedestrian, economic development, environment, intermodal freight, and passenger transportation

**Community leaders** – including mayors, city and county commissioners, legislators

**Tribal governments** – including tribal chairpersons, planners, and Tribal Employment Rights Office (TERO) officers/directors

**Resource and land management agencies**
The following describes the methods MDT uses to involve the public in state-level planning. Efforts should be made to use visualization techniques (illustrations, maps, photos, charts) and the Internet as often as appropriate.

5.1 LONG-RANGE TRANSPORTATION POLICY PLAN—TranPlanMT
TranPlanMT [mdt.mt.gov/tranplan/](http://mdt.mt.gov/tranplan/), Montana’s long-range multimodal transportation policy plan, is part of an ongoing process that regularly identifies transportation issues, evaluates public and stakeholder needs and priorities, and establishes and implements policy goals and strategies. TranPlanMT serves as the guiding document for MDT business practices and developing topic specific plans.

TranPlanMT was developed with input from the public and transportation stakeholders. This 20-year plan is updated as significant trends and changing public priorities are identified or with changes in related laws.

In accordance with 23 C.F.R. 450.210, MDT uses appropriate methods to involve a broad range of citizens and stakeholders statewide in updating TranPlanMT. The draft plan is provided to the public for review and written comment, after which the input is considered, and the plan is finalized, adopted, and made publicly available.

It is essential that MDT continues to involve the public and stakeholders in implementing TranPlanMT policy goals and strategies as an ongoing process to manage the transportation system.

5.2 STATEWIDE TRANSPORTATION IMPROVEMENT PROGRAM
Montana’s Statewide Transportation Improvement Program (STIP) [mdt.mt.gov/pubinvolve/stip.shtml](http://mdt.mt.gov/pubinvolve/stip.shtml) is a five-year program that contains proposed multi-modal transportation projects that use federal and state funds. TranPlanMT policy goals serve as guidance as MDT works with stakeholders and the public to develop the STIP.

The STIP identifies highway, rail, aeronautic, and transit improvements to preserve and improve Montana's transportation system. The projects and dates in the STIP are MDT objectives because the execution of this program is contingent on several factors including federal and state funding availability, right-of-way acquisition, utility relocations, environmental review, surveying, and design. Complications with one or more of these factors may delay a project. Annually, the STIP is available for public comment and approved by FHWA. The STIP can be amended throughout the year.

In accordance with 23 C.F.R. 450.210
PUBLIC INVOLVEMENT for the STIP INCLUDES:

- Gathering public comments and project nominations through district administrators and the Montana Transportation Commission.
- Announcing the availability of the draft, final, and amended STIP through various means including the MDT website, the Newsline, and direct mail to local officials, tribal entities, stakeholders, and citizens.
- Providing the draft, amended, and final STIP on the MDT website.
- Providing hard copies of the draft and final STIP to public libraries, certain federal agencies, local governments, and by request.
- Reviewing the draft STIP with local officials during annual and/or regional meetings.
- Providing a toll-free telephone number for requests and comments.
- Consulting with local officials when developing local transportation plans and with multi-agency coordinating committees on plan updates, urban project nominations, and other transportation issues.
- Using information from consults with local and tribal officials informally and through the biennial TranPlanMT public and stakeholder biennial surveys.
- Considering comments received during the 30-day comment period.
Transportation Improvement Programs (TIPs) from the Billings, Great Falls, and Missoula metropolitan areas are incorporated into the STIP by reference. TIPs contain information about current and future transportation projects consistent with each metropolitan planning organizations’ (MPO) long-range transportation plan and are developed in cooperation with area transit providers and state and local governments as part of a continuing, cooperative, and comprehensive transportation planning process.

5.3 LOCAL TRANSPORTATION COORDINATING COMMITTEES
MDT supports efforts in Montana’s largest urban areas to ensure that MDT decisions in these areas consider local needs and input. These efforts include the periodic update of area transportation plans as well as ongoing consultation through MDT membership on formal transportation coordinating committees.

5.4 NON-METROPOLITAN LOCAL OFFICIAL CONSULTATION PROCESS
MDT has a federally required, separate transportation planning and programming consultation process for non-metropolitan local officials. The Non-Metropolitan Local Official Consultation Process at mdt.mt.gov/publications/docs/manuals/consultation_process.pdf is inclusive, flexible, and involves local officials in a variety of ways briefly outlined below.

Planning:

⭐ MDT consults with local officials during updates of TranPlanMT through participation on panels, targeted mailings, meetings, and presentations.
⭐ MDT consults with local officials informally and through the biennial TranPlanMT Stakeholder Survey, which includes separate stakeholder groups for city and county officials.
⭐ MDT consults with local officials when developing local transportation plans and with multi-agency coordinating committees on plan updates, urban project nominations, and other transportation issues.

Programming:

⭐ MDT provides local officials and the public opportunities to review and comment on the draft STIP. MDT discusses the draft at regional meetings of the Montana Association of Counties.

Programs:

⭐ MDT consults with local officials annually on Surface Transportation Program (STP) projects for the STIP funded through programs such as the Primary (STP-P), Urban (STP-U), and Secondary Highway Programs (STP-S). Projects nominated through this process are then incorporated into the STIP and made available for comment.

5.5 HIGHWAY SAFETY IMPROVEMENT PROGRAM
The Highway Safety Improvement Program (HSIP) is an element of the Comprehensive Highway Safety Plan (CHSP). HSIP funds infrastructure-related highway safety improvements including signing, striping, delineation, guardrail installation, slope flattening, intersection improvements, and roadway realignment. Projects are generally identified by crash trends, local agencies, or the public. A local road agency may submit up to five locations annually. The deadline for submitting a location is the end of the calendar year to be reviewed during the spring of the following year. The application form is available at mdt.mt.gov/publications/docs/forms/hsip_application.pdf or through the Safety Engineering Section at MDT Headquarters. Annually, MDT develops a list of project priorities and a program for improvements subject to funding availability. The projects are incorporated into the STIP for comment.

5.6 OFF-SYSTEM BRIDGE
MDT periodically provides a list of off-system bridges to county officials. Counties recommend bridges for replacement or rehabilitation and provide information on the bridge usage, site conditions, and public support for the project. The recommended bridges are evaluated by MDT based on eligible funding and statewide needs. Potential projects are discussed with the respective county officials. Selected projects are incorporated into the STIP and available for comment.
5.7 CORRIDOR PLANNING STUDIES

Corridor planning studies emphasize early and continuous involvement of the public and environmental, regulatory, and resource agencies. MDT uses corridor planning studies to determine cost-effective ways to address transportation needs. The process identifies needs and objectives in advance of project development and within funding limitations. The Montana Business Process to Link Planning Studies and NEPA/MEPA Reviews [mdt.mt.gov/publications/docs/brochures/corridor_study_process.pdf](mdt.mt.gov/publications/docs/brochures/corridor_study_process.pdf) describes the corridor planning process.

Corridor studies generally include issue identification, documentation of existing conditions, environmental analysis, traffic forecasts, development of goals and a purpose and need statement, and a list of potential improvements to meet goals and long-term corridor needs.

The results of public involvement conducted during the planning phase may be used in the NEPA/MEPA process, provided the planning process for the project complied with the provisions of 23 C.F.R. 450 Appendix A, Linking the Transportation Planning and NEPA Processes and the Montana Business Process to Link Planning Studies and NEPA/MEPA Reviews. The corridor study should indicate if public involvement results will be used for the NEPA/MEPA process and how the process complied with these provisions.

5.8 PROPOSED HIGHWAY PROJECTS

A list of highway projects MDT plans to present at Transportation Commission meetings is made available at [mdt.mt.gov/pubinvolve/trans_comm/meetings.shtml](mdt.mt.gov/pubinvolve/trans_comm/meetings.shtml) or by calling (800) 714-7296.

5.9 TRANSPORTATION COMMISSION

The Transportation Commission is a quasi-judicial board consisting of five members, each of whom is appointed by the Governor for a four-year term. The Commission's major duties include:

- Selecting/prioritizing projects for construction, maintenance, etc.
- Awarding monthly contracts
- Allocating Federal-aid highway funds
- Designating highways by system
- Designating special speed zones and maximum speeds on bridges and overpasses
- Designating access control highways or facilities
- Resolving outdoor advertising appeals
- Approving abandonment of highway right-of-way

Each transportation district is represented by a commissioner who can be reached through the MDT commission secretary. Commission meeting agendas are published online at [mdt.mt.gov/pubinvolve/trans_comm/](mdt.mt.gov/pubinvolve/trans_comm/) in advance of the meeting. Each meeting provides time for public comment. Requests for time on the agenda are made through the commission secretary at (406) 444-7200.

5.10 AERONAUTICS BOARD

The Aeronautics Board is a quasi-judicial board consisting of nine members, each appointed by the Governor. The Board acts in an advisory capacity to the department and has statutory authority over allocation of airport development loan and grant funds and pavement preservation grant funds. Aeronautics projects are incorporated into the STIP for comment.

Members of the Board represent various aspects of the industry as described in MCA 2-15-2506. A list of the Aeronautics Board is available on the MDT website or by contacting the Aeronautics Division. Notice of Aeronautics Board meetings are published online at [mdt.mt.gov/pubinvolve/aeronautics_board/](mdt.mt.gov/pubinvolve/aeronautics_board/) in advance of the meeting. Each meeting provides time for public comment. Requests for time on the agenda are made by contacting the Aeronautics Division at (406) 444-2506.
5.11 ONGOING PUBLIC INVOLVEMENT STRATEGIES FOR PLANNING AND PROGRAMMING

MDT provides early and ongoing public involvement opportunities throughout the planning and programming process. The following describes such strategies:

**Newsletters**
MDT issues a quarterly newsletter, *Newsline*, [mdt.mt.gov/publications/newsletters.shtml](http://mdt.mt.gov/publications/newsletters.shtml) to stakeholder organizations, local officials, tribal officials, and the public. Design and content are appropriate for the general public, and articles and graphics are presented in a nontechnical, user-friendly manner. MDT uses the *Newsline* to provide information and gather input. Staff continually updates the distribution list. The *Newsline* is used extensively throughout TranPlanMT updates and is used annually during the STIP development.


**Toll-free Information and Comment Line - (800) 714-7296 (Montana only)**
The Rail, Transit and Planning Division toll-free information and comment line provides a way to request information, provide comments, request the *Newsline* or other department publications, and discuss transportation issues with staff. The toll-free line is also used during the review of the draft STIP.

**Direct Mailings to Groups and Individuals**
MDT develops white papers or other informational material on state and national transportation issues. The Rail, Transit and Planning Division distributes these reports to groups or individuals interested in the issues. These informational items are also noted in the *Newsline* and on the MDT website. The division mailing list is continually updated with the addresses of groups and individuals for these mailings. In addition to the special informational mailings, the division will use direct mail and e-mails to individuals and stakeholder groups to announce the availability of certain publications or meetings.

**Media Releases and Paid Media**
The Rail, Transit and Planning Division occasionally publishes special reports addressing specific transportation issues or conducts transportation studies that are of interest to various audiences. When appropriate, the division uses news releases and paid media to notify the public of the availability and content of these reports. MDT also issues media releases to announce meetings and public input opportunities.

**Internet**
MDT has developed an extensive website [mdt.mt.gov](http://mdt.mt.gov) and uses this communication tool to provide information and solicit comments. Information available includes:

- Current and past newsletters
- MDT publications
- Maps and interactive mapping tools
- Recent news releases
- Traffic counts
- Program delivery status reports
- TranPlanMT documents
- Draft and final STIP and STIP amendments
- Transit grant information and applications
- Projects MDT will present to the Transportation Commission
- Bridge and Road Safety and Accountability (BaRSAA) program fund distribution
- Topic-specific planning documents

**Social Media**
Social media is widely used as appropriate to reach a broad audience with current announcements and sources for additional information.
Project-Specific Groups and Advisory Groups
MDT utilizes project-specific groups when beneficial as a way of garnering valuable input and expertise from those with knowledge about specific issues.

Other Activities
E-mail and mailing lists are used to provide timely information. The Rail, Transit and Planning Division sponsors public meetings, conferences, and workshops for state, tribal, and local officials, plus others to discuss planning issues and provide technical assistance. Remote conferencing is also an option to include the public and stakeholders.
6 PROJECT DEVELOPMENT & CONSTRUCTION

The following provides guidance for addressing public involvement requirements in project development (preconstruction) and construction of projects. MDT projects require public involvement action tailored to the project based on factors such as project scope, anticipated impacts, potential for controversy, and level of NEPA/MEPA environmental documentation. Effective public involvement is flexible, allowing review and input throughout project development. Efforts should be made to use a variety of engagement strategies including visualization techniques and by electronic means.

The 2017 Montana Legislature enacted MCA 60-2-245 regarding projects of substantial impact on the public. Projects approved by the Transportation Commission prior to July 1, 2017 are not subject to the MDT Project Communication Process (PCP) established in response to MCA 60-2-245 and may proceed with public involvement according to Section 6.1. Staff is encouraged to consider incorporating the PCP process or elements of the process as appropriate to enhance public engagement on these projects. Projects approved by the Transportation Commission after July 1, 2017 follow the PCP process in Section 6.2.

REQUIREMENTS AND CONSIDERATIONS
in developing public involvement activities for project development and construction
6.1 PUBLIC INVOLVEMENT – PROJECTS APPROVED BY TRANSPORTATION COMMISSION PRIOR TO JULY 1, 2017

Projects approved by the Transportation Commission before July 1, 2017 should, at a minimum, follow the process in this section. Additional efforts are encouraged as appropriate. These projects can follow the PCP described in Section 6.2 and at mdt.mt.gov/business/consulting/comm-process.shtml for expanded efforts to involve the public. Appendix B details various methods to inform the public and solicit input.

6.1.1 LEVELS OF PUBLIC INVOLVEMENT

MDT has identified four levels of public involvement in order to establish the minimum actions necessary to afford the public opportunities to be informed and engaged. The level of public involvement may be elevated at any time during the project planning and/or development process. See the MDT Environmental Manual at mdt.mt.gov/publications/docs/manuals/env/env_manual.pdf for determining the level of environmental review.

**LEVELS of PUBLIC INVOLVEMENT**

Level A

These projects pose minimal or no impact to the surrounding community or environment, require minimal or no right-of-way acquisition, will be of short duration, and pose no disturbance to local communities during construction. Projects require no formal planning or design or smaller construction projects, pavement preservation, median removal, signalization, and intersection realignment.

Level B

These projects pose minimal impacts to the environment and include activities such as minor urban projects, projects with some project development, and reconstruction. The project generally requires minimal planning before project development and construction can begin.

Level C

These projects have some impact, but not significant, and are of moderate size, requiring some time for planning, project development, and construction such as minor realignments. Projects requiring an Environmental Assessment (EA) may be in this category, as are some with complex Categorical Exclusions (CE).

Level D

These projects include activities such as Environmental Impact Statements (EIS), major realignments, new highway corridor projects, and major urban projects. These may significantly impact local communities or require substantial acquisition of right-of-way. They generally require large-scale efforts in terms of project development and construction. Projects with complex CEs and EAs may be Level D.

6.1.2 RESPONSIBILITIES

The lead unit (District, Road Design, Traffic, Bridge, Consultant Design, etc.) is responsible for developing, documenting, and updating the project public involvement actions and works with the district administrator (DA) to formulate these actions. The DA has overall responsibility and final authority for public involvement decisions. The project development engineer (PDE) from the Environmental Services Bureau (ESB) offers guidance to ensure the level of public involvement is appropriate for the scope of the project and public concern.
6.1.3 PROCESS
The first step is to evaluate the nature and extent of public involvement necessary to address MDT public involvement goals and anticipated NEPA/MEPA requirements. The lead unit documents in the Preliminary Field Review (PFR) the results of the discussions during the PFR regarding the nature and extent of public involvement needed. After the PFR, the lead unit may conduct preliminary coordination with local officials, tribal officials, and key organizations in the project area to gather additional information for consideration.

The lead unit selects public involvement methods to achieve the appropriate level of public involvement and address applicable requirements. The lead unit confers with the DA on the public involvement methods to be used and then documents the results in the PFR for the project. The lead unit provides copies of the PFR to the DA and other MDT units involved with the project.

Examples of information that may be incorporated in the description of proposed public involvement measures to be implemented include:

- Proposed activities to inform the public and solicit input
- Proposed timeline for public involvement activities in relation to the project development schedule
- Person responsible for implementing the public involvement activities
- Objectives of the public involvement activities

Good public involvement practice includes keeping the public informed of project status and changes without long lapses of time between public contact. Incorporating periodic updates to the public, particularly on projects with long development times, is extremely important to ensure continuity and an informed public.

6.1.4 KEY PROVISIONS PER LEVEL OF PUBLIC INVOLVEMENT
In developing the public involvement actions for a proposed project, the lead unit coordinates with the PDE in the ESB to ensure the plan includes appropriate measures to address applicable requirements associated with the anticipated level of public involvement and environmental processing. The PDE addresses the public involvement elements associated with NEPA/MEPA requirements as part of the environmental documentation process for proposed projects. The following is an overview of the elements that may be included for each level of public involvement. The environmental document associated with the level of public involvement may vary. The public involvement coordinator prepares and issues news releases and places paid media.

Level A Public Involvement
Public involvement activities may include:

- Distributing a news release in the project area describing the project with department point of contact, an opportunity to comment, and ADA language to at least one newspaper in the project area with wide circulation, television and radio stations, minority specific publications, and post to the MDT website.

6.1.4.1 Level B Public Involvement
Public involvement should include activities to promote early and ongoing coordination with the public and appropriate agencies. At a minimum, distribute a news release as described in Level A. Other activities to consider may include:

- Contacting local and tribal officials and interest groups at the beginning of preliminary planning and project development. Correspondence may be directed to area elected officials, MPOs, development districts, and organizations with a known interest in such projects. This correspondence may describe activities, anticipated impacts, and invite input. If no planning period is anticipated for the project, timing of this correspondence should be at the beginning of the project development process.
- Contacting adjacent landowners explaining final design.
- Notifying the public during construction of developments, detours, and delays. This may be through news releases, radio and television public service announcements, social media, and the MDT website.
6.1.4.2 Level C Public Involvement
Public involvement should include activities to promote early and ongoing coordination with the public and appropriate agencies. Ensure public involvement complies with NEPA/MEPA. At a minimum, distribute a news release as described in Level A. Other activities to consider may be developed according to each phase of the project (planning, project development, and construction). Such activities may include:

Planning
★ Developing a stakeholder database of residents, property owners, elected officials, businesses, organizations, and individuals with potential interest in the project.
★ Identifying specific stakeholder correspondence needed.
★ Identifying populations in the project area requiring special outreach to ensure access to information and the opportunity for input, regardless of race, religion, age, income, or disability. Census data and area organizations may be helpful in identifying these populations to meet environmental justice requirements.
★ Determining the need for public information meeting(s) including anticipated timing and location (city).
★ Determining the need for public hearing(s).
★ Developing a project web page or posting information to the MDT website and social media.

Project Development
★ Sending correspondence to stakeholders that includes a review of the activities, results of the planning process, descriptions of expected design activity, anticipated impacts, anticipated survey work on private property, and the schedule for any public information meetings. This correspondence should invite input and may be a newsletter or other effective form of communication.
★ Coordinating a notice to offer the opportunity for public hearing if warranted in accordance with NEPA, and MEPA. Reference Section 7 and Appendix B for more information.
★ Distributing a news release as described in Level A.
★ Conducting a right-of-way public information meeting to present the final proposed right-of-way plans.
★ Utilizing a project-specific web page or posting information to the MDT website and social media.

Construction
★ Using standard specifications in contract special provisions for contractor to inform the public of impending construction activity, traffic routing, delays, and other project information.

6.1.4.3 Level D Public Involvement
Public involvement should include activities to promote early and ongoing coordination with the public and appropriate agencies. Staff, associated consultants, representatives of MPOs, or other coordinating agencies may form an interdisciplinary team. With MDT concurrence, the team will determine the appropriate public involvement activities. Ensure public involvement complies with NEPA/MEPA. At a minimum, distribute a news release as described in Level A. Other activities to consider may be developed according to each phase of the project (planning, project development, and construction). Such activities may include:

Planning
★ Developing a stakeholder database of residents, property owners, elected officials, businesses, organizations, and individuals with potential interest in the project.
★ Identifying specific stakeholder correspondence needed.
★ Identifying populations in the project area requiring special outreach to ensure access to information and the opportunity for input, regardless of race, religion, age, income, or disability. Census data and area organizations may be helpful in identifying these populations to meet environmental justice requirements.
★ Determining the need for public information meeting(s) including, meeting purpose, anticipated timing, and location (city). With MDT concurrence, the threshold for “need” is determined by the interdisciplinary team.
★ Identifying other activities needed during project development, such as newsletters, fliers, posters, brochures, fact sheets, media relations activities, speaker’s bureau activities, and special event participation.
★ Developing a project-specific web page and posting information on the MDT website and social media.
**Project Development**

- Sending correspondence to stakeholders that includes a review of the activities, results of the planning process, descriptions of expected design activity, anticipated impacts, anticipated survey work on private property, and the schedule for any public information meetings. This correspondence should invite input and may be a newsletter or other effective form of communication.
- Coordinating a notice to offer the opportunity for public hearing in accordance with NEPA, and MEPA requirements as appropriate. Section 7, and Appendix B for more information.
- Coordinating and conducting public hearing(s) if appropriate.
- Distributing a news release and placing paid media in accordance with NEPA, and MEPA requirements appropriate for level of environmental document.
- Conducting a right-of-way public information meeting to present the final proposed right-of-way plans.
- Utilizing a project-specific web page or posting information to the MDT website and social media.
- Anticipating the need for on-going communication with the public for projects with extended or complicated design timelines.

**Construction**

- Using standard specifications in contract special provisions for contractor to inform the public of impending construction activity, traffic routing, delays, and other project information.

### 6.2 PUBLIC INVOLVEMENT - PROJECTS APPROVED BY TRANSPORTATION COMMISSION AFTER JULY 1, 2017

The PCP must be followed for projects approved by the Transportation Commission after July 1, 2017. Detailed guidance, documents, and resources are available at [mdt.mt.gov/business/consulting/comm-process.shtml](http://mdt.mt.gov/business/consulting/comm-process.shtml). These projects may be subject to MCA 60-2-245.

#### 6.2.1 RESPONSIBILITIES

6.2.1.1 **Design Project Manager**

The Design Project Manager is the lead unit designer (District, Road Design, Traffic, Bridge, Consultant Design, etc.) and is responsible for developing the PCP and, if required, a project public involvement plan with the input from the Project Design Team, administrative staff, Public Information Officer (PIO), and Engineering Public Relations (PR) Contract Manager. The Design Project Manager is responsible for developing, documenting, and updating the project public/stakeholder engagement actions. The lead unit works with the Project Sponsor to formulate these actions.

6.2.1.2 **Engineering Construction Project Manager**

The Engineering Construction Project Manager manages activities associated with the administration of a contract for specified construction services and physical infrastructure, including maintenance of the communication log and contact list during the construction of the project. The Engineering PR Contract Manager is responsible for ensuring the engagement strategies and project public involvement plan are implemented during construction.

6.2.1.3 **Engineering PR Contract Manager**

The Engineering PR Contract Manager manages consultants hired to carry out project public involvement plans, assists the Project Design Team with selecting public involvement consultant and the scope of work, the PCP, and developing project public involvement plans.

6.2.1.4 **Program Manager**

The program manager is responsible for the program from which funds are being used for a project (Congestion Mitigation and Air Quality, Highway Safety Improvement Program, Urban Program, etc.)

6.2.1.5 **Project Design Team Members**

Project Design Team Members are MDT staff involved with the development of a transportation construction project. It includes all staff members typically included on the distribution list of project milestone reports. Team members are responsible for assisting in determining project level of impact, public/stakeholder engagement strategies, developing a project public involvement plan, and maintaining the project communication log.
6.2.1.6 Project Sponsor
The DA is often the primary project sponsor for MDT projects. The project sponsor may also be the Chief Engineer or Rail, Transit & Planning Division Administrator. There may be multiple project sponsors depending on funding for the project.

6.2.1.7 Public Information Officer (PIO)
The PIO acts as the Director's spokesperson and coordinates marketing activities, manages media contacts, approves all public outreach and project public involvement plans, and assists staff when news media issues arise.

6.2.2 PROCESS

6.2.2.1 Determine Project Level of Impact (LOI)
The Design Project Manager in cooperation with Project Design Team and sponsors determine the project level of impact (LOI). LOI is established for all projects to determine if a project is Low Impact (Level A), Moderate Impact (Levels B and C) or Substantial Impact (Level C and D). LOI should be re-evaluated throughout the development of the project and listed in all milestone reports. A project with an environmental document greater than a CE will be a substantial impact project. A designation of substantial impact by the Transportation Commission automatically classifies the project as having substantial impact.

6.2.2.2 Determine Communication Strategies
Communication strategies can be customized to determine methods for active and continuous communication with the public and stakeholders.

The Design Project Manager is responsible for developing and implementing these strategies. The Project Design team is involved with the development of these strategies and concurrence is needed from the Project Sponsor and Program Manager.

The Design Project Manager is responsible for keeping the strategies current and documenting all public/stakeholder engagement throughout project development. Strategies should be reviewed throughout the project and adjusted as needed.

The Engineering Construction Manager is responsible for keeping the strategies current and documenting all public/stakeholder engagement throughout project construction. Strategies should be reviewed throughout construction and adjusted as needed.

6.2.2.3 Develop and Maintain Contact List (e-list)
MCA 60-2-245 requires MDT to develop and maintain an electronic notification list (e-list) for specific entities and organizations when a project is determined to have a “substantial impact on the public.” The e-list must be developed and maintained throughout the life of the project. Contacts on this list must receive project updates of major milestones throughout the life of the project including construction. The Design Project Manager will develop and maintain the list. The Engineering Construction Manager is responsible for keeping the list current throughout project construction.

Determination of the initial e-list should be made in the early stages of project development. Review and modification of the list should also be included at each major milestone during project development. The e-list is determined on a project by project basis. When individuals/entities request to be added or removed from the project-specific e-list, update accordingly.

6.2.2.4 Develop a Project Public Involvement Plan
A project public involvement plan is required for substantial impact projects. The plan is a stand-alone document developed by the Design Project Manager with the assistance of the Project Design Team, Engineering PR Contract Manager, PIO, and Project Sponsor. Approval of the plan from the Project Sponsor is required.

6.2.2.5 Maintain a Communication Log
It is critical to log all communications with those outside MDT and helpful if done in conjunction with the e-list. The Design Project Manager is responsible for developing the log and keeping it up to date during preconstruction. The Engineering Project Manager is responsible for maintaining and keeping the log up to date during construction.
7 NEPA/MEPA COMPLIANCE

In addition to the activities described previously for the level of involvement identified, federal and state regulations for implementing NEPA and MEPA, include specific public involvement requirements that are linked to the level of environmental processing for a proposed action. In addition, 23 U.S.C. 139 "Efficient Environmental Reviews for Project Decisionmaking," enacted as a part of SAFETEA-LU and continued in subsequent federal surface transportation acts, imposes additional public involvement requirements. Executive Order 12898 "Federal Actions to Address Environmental Justice in Minority Populations and Low-Income Populations" and U.S. Department of Transportation Order 5610.2(a) include specific public involvement requirements that link to the level of environmental processing for a proposed action.

These requirements are applicable to all projects requiring preparation of an Environmental Assessment (EA) or Environmental Impact Statement (EIS) as determined by FHWA on a case-by-case basis. Requirements change periodically, especially with new federal transportation authorizations.

The PDE addresses the public involvement elements associated with NEPA/MEPA requirements as a part of the environmental documentation process. In planning public involvement for a proposed project, the lead unit coordinates with the PDE to ensure appropriate measures are taken to address requirements.

7.1 CATEGORICAL EXCLUSION (CE)

Under FHWA regulations, projects processed as a CE are subject to the general provision that recognizes early coordination. Early and ongoing coordination with the public and appropriate agencies aid in determining the type of environmental document an action requires, the scope of the document, the level of analysis, and related environmental requirements.

MDT is required to provide early and continuing opportunities during the project development process for the public to be involved in the identification of social, economic, and environmental impacts as well as impacts associated with relocation of individuals, groups, or institutions.

The MDT implementing rules for MEPA do not include specific public involvement requirements for CE projects.

7.2 ENVIRONMENTAL ASSESSMENT (EA)/FINDING OF NO SIGNIFICANT IMPACT (FONSI)

7.2.1 NEPA

Projects processed with an EA/FONSI under the FHWA environmental regulations are subject to the provisions for CE projects. At the earliest appropriate time, possibly through the scoping process, the PDE, in consultation with FHWA, begins consultation with interested agencies and others to advise them of the scope of the project and to:

- Determine the demographic composition of impacted communities, specifically identifying minority and low-income populations.
- Determine aspects of the proposed action that have potential for social, economic or environmental impact, with attention to environmental justice issues.
- Identify alternatives and measures that might mitigate adverse environmental impacts.
- Identify other environmental review and consultation requirements that should be performed concurrently with preparation of the EA.

After FHWA has approved an EA for public availability, the PDE sends the Notice of Availability to affected units of federal, tribal, state, and local governments. Copies of the EA are also sent to the Montana State Library, local libraries, and posted on the MDT website.

Public hearings are not required for EA projects, but may be held. If a public hearing is held, the public involvement coordinator places a notice of the public hearing in local newspaper(s). The notice must announce the availability of the EA and where it may be obtained or reviewed.

If a public hearing is not held for an EA project, the public involvement coordinator places a notice in a newspaper(s) similar to a public hearing notice and at a similar stage of project development, advising the public of the availability of the EA and where information concerning the action may be obtained. The notice must invite comments from all interested parties.
After FHWA makes a FONSI for a project, the PDE sends a Notice of Availability of the FONSI to affected units of federal, tribal, state, and local government. Copies of the FONSI are sent to the Montana State Library and local libraries, made available on request, and posted on the MDT website.

7.2.2 23 U.S.C. 139

For EA projects determined by FHWA to be subject to the provisions of 23 U.S.C. 139 “Efficient Environmental Reviews for Project Decisionmaking,” the PDE, in cooperation with FHWA:

- Identifies and invites agencies to serve as participating agencies in accomplishing the environmental analyses.
- Establishes a plan for coordinating public and agency participation and comment during the environmental review process.
- Affords participating agencies and the public an opportunity for involvement in defining the project purpose and need.
- Affords participating agencies and the public an opportunity for involvement in defining the range of alternatives.
- Determines, in collaboration with the participating agencies, the appropriate methodologies to use and the level of detail required in the analysis of alternatives. See the FHWA SAFETEA-LU Environmental Review Process Final Guidance and MDT Environmental Manual for further details.

7.2.3 MEPA

The transportation rules for implementing MEPA include the following public involvement provisions for EA projects:

- MDT is responsible for providing opportunities for public review consistent with the seriousness and complexity of the environmental issues and the level of public interest.
- Publish a news release and/or paid advertisement to announce the availability of an EA, summarize its content, and solicit public comment.
- Hold public information meetings or hearings if appropriate.
- Maintain mailing lists of persons interested in a particular action or type of action and notify them of the EA availability.
- Distribute copies of the EA for review and comment.

For an action with limited environmental impact and public interest, no further public review may be warranted. However, where an action normally requires an EIS, but effects that otherwise might be deemed significant are mitigated in the project proposal or by controls imposed by MDT, public involvement must include the opportunity for public comment, a public information meeting or hearing, and adequate notice.

Under the transportation rules for implementing MEPA, public hearings are not required for EA projects. Whenever a public hearing is held on an EA, the Public Involvement Coordinator issues a news release or legal notice to newspapers of general circulation in the area to be affected by the proposed action prior to the hearing. A hearing involving an action for which an EA was prepared must be held after the EA has been circulated and prior to any final agency determinations concerning the proposed action.
7.3 ENVIRONMENTAL IMPACT STATEMENT (EIS)/ RECORD OF DECISION (ROD)

7.3.1 NEPA

Projects requiring preparation of an EIS under FHWA environmental regulations are subject to the provisions for CE projects as well as the following actions/regulations.

When the decision has been made by MDT and FHWA to prepare an EIS, FHWA and the PDE coordinate to prepare and issue a Notice of Intent for publication in the Federal Register. In accordance with 23 C.F.R. 771.123 (a), MDT may announce at the local level by appropriate means the intent to prepare an EIS.

After a Notice of Intent is published, the lead unit and PDE, in cooperation with FHWA, begin a scoping process. This process is used to identify the range of alternatives, impacts, and significant issues to be addressed in the EIS, and to achieve the other objectives of 40 C.F.R. 1501.7 “Scoping.”

After FHWA approval, the PDE circulates the Draft Environmental Impact Statement (DEIS) to the following for comment:

- Public officials, interest groups, and those known to have an interest in the proposed action or the DEIS
- Federal, tribal, state, and local government agencies expected to have jurisdiction, responsibility over, interest or expertise in the proposed action or the DEIS. Appropriate agencies and state intergovernmental review contacts established under Executive Order 12372, plus state and federal land management entities that may be significantly affected by the proposed action or any of the alternatives.

Under 23 U.S.C. 128 MDT must certify that public hearing has been held, or the opportunity for public hearings has been afforded, for the following:

- Any proposed Federal-aid highway project involving the bypassing of, or going through any city, town or village, either incorporated or unincorporated; and

If a public hearing is held, the public involvement coordinator places a notice of the public hearing in local newspaper(s). The notice must:

- Announce the availability of the DEIS and where it may be obtained or reviewed
- Provide reasonable notice to the public of either a public hearing or the opportunity for a public hearing
- Invite comment on the DEIS
- Ensure the notice provides the applicable information required to comply with public involvement requirements of other laws, executive orders, and regulations

If a public hearing is held, the design team addresses the following information at the public hearing, as appropriate:

- Project purpose, need, and consistency with the goals and objectives of any local urban planning
- Project alternatives and major design features
- Social, economic, environmental, and other impacts of the project
- Relocation assistance program and the right-of-way acquisition process
- MDT procedures for receiving both oral and written statements

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EIS FLOW CHART

Notice of Intent
→ Local Announcement
→ Scoping
→ Request Cooperating Agencies
→ Develop DEIS
→ FHWA Approval
→ Notice of Availability
→ Public Hearing or Opportunity
→ Transcripts & Certifications
→ Develop EIS
→ FHWA Approval
→ Circulate EIS
→ Notice of Availability
→ Record of Decision
→ Implementation
After MDT and FHWA approval, the PDE transmits the Final Environmental Impact Statement (FEIS) to any persons, organizations or agencies that made substantive comments on the DEIS or requested a copy, no later than the time the document is filed with EPA for formal Notice of Availability. The public involvement coordinator publishes a Notice of Availability in local newspapers and the PDE makes the FEIS available for public and agency review. Review locations may include the MDT website, Montana State Library, local libraries, MDT offices, local government offices, and schools.

7.3.2 23 U.S.C. 139
The following requirements apply to all EIS projects for which the original Notice of Intent was/is published after August 10, 2005. The PDE, in cooperation with FHWA:

- Identifies and invites agencies to serve as participating agencies in accomplishing the environmental analyses
- Establishes a plan for coordinating public and agency participation and comment during the environmental review process
- Affords participating agencies and the public an opportunity for involvement in defining the project purpose and need
- Affords participating agencies and the public an opportunity for involvement in defining the range of alternatives
- Determines, in collaboration with the participating agencies, the appropriate methodologies to be used and the level of detail required in the analysis of alternatives

See the FHWA SAFETEA-LU Environmental Review Process Final Guidance and MDT Environmental Manual for further details.

7.3.3 MEPA
The following provisions apply for compliance with the public involvement requirements applicable to EIS projects, as defined in the transportation rules for implementing MEPA. Prior to the preparation of an EIS, the PDE initiates a process to determine the scope of the EIS. To identify the scope of an EIS, the PDE accomplishes the following actions:

- Invites the participation of affected federal, state, and local government agencies, tribes, the applicant, if any, and interested persons or groups
- Identifies issues related to the proposed action likely to involve significant impacts and that will be analyzed in-depth in the EIS
- Identifies the issues that are not likely to involve significant impacts, thereby indicating that unless unanticipated effects are discovered during the preparation of the EIS, the discussion of these issues in the EIS will be limited to a brief presentation of the reasons they will not significantly affect the quality of the human environment
- Identifies issues that have been adequately addressed by prior environmental review, indicating the discussion of these issues in the EIS will be limited to a summary and reference to their coverage elsewhere
- Identifies possible alternatives to be considered

If a public hearing is held, the public involvement coordinator issues a news release and/or paid advertisement in newspapers of general circulation in the area to be affected by the proposed action prior to the meeting. Other appropriate steps may be taken to promote awareness of a scheduled public hearing.

The PDE ensures all written comments received on an EIS are available to the public upon request.
At the time of MDT’s decision concerning a proposed action for which an EIS was prepared, the PDE prepares a concise Record of Decision (ROD). The ROD is a public notice of what the decision is, the reasons for the decision, and any special conditions surrounding the decision or its implementation.

### EIS PUBLIC HEARINGS

Under MEPA, MDT holds a public hearing within 20 days of issuance of the DEIS when requested by:
- 10 percent or 25 members whichever is less, of the persons who will be directly affected by the proposed action
- Another agency that has jurisdiction over the action
- An association having not less than 25 members who will be directly affected by the proposed action

When in doubt that a sufficient number of persons have requested a hearing, MDT will hold a public hearing.

Public information meetings may be held in lieu of formal hearings as a means of soliciting public comment where no hearing is requested under the criteria described above. Adequate advance notice of the meeting must be provided. Refer to Appendix B for more details.

**7.3.4 Reevaluation**

Additional public involvement must be considered during project reevaluations, especially when substantial changes to the project transpired since the prior public involvement process was completed.

### 7.4 PUBLIC INVOLVEMENT DOCUMENTATION

Documentation is a key component of the public involvement process for demonstrating compliance with all applicable requirements and demonstrating rationale for decisions. Retain information on all public involvement activities for MDT projects as a part of the administrative record. Examples of the type of documentation that should be retained include:
- Copies of notices or advertisements for the activity
- Participant sign-in sheets
- Copies of handouts
- Documentation of displays or exhibits used
- Documentation of discussions, comments, questions, and oral or written responses, including primary issues identified
- Public hearing transcripts
- All correspondence and associated acknowledgements or responses
- Information on the purpose of the activity
- A completed Title VI public information meeting checklist for all open invitation public information meetings and hearings

As discussed in the *MDT Environmental Manual*, FHWA environmental regulations require the PDE to submit to FHWA a transcript of each public hearing and a certification that a required hearing or hearing opportunity was offered. The PDE also submits with the transcript, copies of all written statements from the public, both submitted at the hearing or during an announced period after the hearing.

For projects involving an EA, the PDE submits, with the recommendation for a FONSI, the public hearing transcript, where applicable, and copies of any comments received and responses thereto. For projects involving preparation of an EIS, the final EIS must discuss substantive comments received on the DEIS and responses thereto and must summarize public involvement.
8 PUBLIC INVOLVEMENT EVALUATION

In accordance with 23 C.F.R. 450.210 and 49 C.F.R. Part 613, MDT will periodically review the effectiveness of the public involvement process to ensure that the process provides full and open access to all interested parties and revise the process as appropriate.

At least every two years, projects will be chosen for review. Audit Services, in cooperation with the Rail Transit and Planning Division, the Highways and Engineering Division, and the districts determine a representative sample of projects for review. The number and type of projects chosen for review will be representative of the projects MDT has advanced through the public involvement process. MDT public involvement goals, minimum requirements, and enhanced efforts implemented will be used as measures to assess effectiveness. Results of the review will include recommendations to improve the public involvement process and will be made available on request.

MDT will include public involvement-related questions on the biennial public and stakeholder surveys and review responses for consideration of changes to the public involvement process.

MDT will provide for public review and written comment on the Public Involvement Plan processes in the development of the long-range statewide transportation plan and the STIP before the procedures and any major revisions to existing procedures are adopted. All comments received will be carefully considered for possible incorporation into the Public Involvement Plan. At a minimum, MDT will allow 45 calendar days for public review and written comment. MDT will provide copies of the approved public involvement process document(s) to FHWA and FTA for informational purposes.

At least once every five years, MDT will solicit review and comments from non-metropolitan local officials on the effectiveness of the consultation process and any proposed changes. This will be done through a presentation at the annual meeting of the Montana Association of Counties. Interested parties will have at least 60 calendar days to submit comments. MDT will determine whether to adopt any proposed changes. For changes not adopted, MDT will make publicly available the reasons for not accepting the proposed changes.
9 APPENDIX A LAWS, REGULATIONS & GUIDANCE

The following provides laws, regulations, and guidance in proceeding with public involvement actions. This list is not exhaustive of all applicable laws, regulations, and guidance for the environmental and planning process.

The Montana Environmental Policy Act (MEPA)
The intent of this Act is to provide for adequate review of state actions to ensure that environmental attributes are fully considered (75-1-102(1), MCA).

ARM 18.2.235, et seq.
Rules Implementing the Montana Environmental Policy Act
https://mtrules.org/gateway/ChapterHome.asp?Chapter=18%2E2
These parts of the Administrative Rules of Montana (ARM) include several provisions that address public involvement requirements for MDT projects. Relevant parts include:
- ARM 18.2.240 “Public Review of Environmental Assessments”
- ARM 18.2.241 “Determining the Scope of an EIS”
- ARM 18.2.246 “Time Limits and Distribution of EISs”
- ARM 18.2.252 “Record of Decision for Actions Requiring EISs”
- ARM 18.2.257 “Public Hearings”

Montana Environmental Quality Office
This publication provides guidance for understanding and implementing MEPA.

Montana Constitution
https://leg.mt.gov/bills/mca_toc/CONSTITUTION.htm
Article II Section 8 provides the public has the right to expect government agencies to afford reasonable opportunity for citizen participation in the operation of the agencies prior to final decision as provided by law.
Article II Section 9 provides no person shall be deprived of the right to examine documents or to observe the deliberations of all public bodies or agencies of state government and its subdivisions, except in some cases.

MCA 60-2-211
The department may not construct highway bypasses or highway relocation projects without prior consent of the governing body of an incorporated municipality under certain circumstances.

MCA 60-2-245
For projects approved by the Transportation Commission after July 1, 2017, MDT is required to develop and maintain an electronic notification list (e-list) for specific entities and organizations when a project is determined to have a “substantial impact on the public”.

The National Environmental Policy Act of 1969 (NEPA)
This act requires the examination of potential impacts to the social and natural environment when considering proposed federal actions such as transportation projects. NEPA also requires that the transportation needs of the public be considered in reaching a decision that is in the best overall public interest. Public involvement activities are included in the process required for developing an Environmental Impact Statement (EIS).
These parts of the Code of Federal Regulations (C.F.R.) establish a framework of policies and procedures for federal agency compliance with NEPA. They address requirements for public involvement in the following:

- **Section 1500.1 “Purpose.”** This section requires federal agency NEPA procedures to ensure environmental information is available to the public before decisions are made and before actions are taken.
- **Section 1500.2 “Policy.”** This section includes provisions requiring federal agencies to encourage and facilitate public involvement in decisions that affect the quality of the human environment.
- **Section 1505.6 “Public Involvement.”** This section provides detailed guidance on public involvement requirements for NEPA compliance.

**23 C.F.R. 771**

**Environmental and Related Procedures**

This establishes the policies and procedures of FHWA and the Federal Transit Administration for implementing NEPA and the CEQ Regulations in 40 C.F.R. 1500 through 1508, and for complying with 23 U.S.C. 128 “Public Hearings.” It includes applicable provisions regarding public involvement in the following:

- **Section 771.105 “Policy.”** This section indicates that public involvement and a systematic interdisciplinary approach are essential parts of the development process for proposed actions.
- **Section 771.111 “Early Coordination, Public Involvement and Project Development.”** This section addresses the requirements for public involvement/public hearing programs that each state must have for compliance with 23 U.S.C. 128 and the CEQ Regulations.

**23 C.F.R. 450 Subpart B**

**Statewide Transportation Planning and Programming**

The purpose of this subpart is to implement the provisions of 23 U.S.C. 135 and 49 U.S.C. 5304, as amended, and requires each state to carry out a continuing, cooperative, and comprehensive statewide multimodal transportation planning process. This includes the development of a long-range statewide transportation plan and statewide transportation improvement program (STIP) that facilitate the safe and efficient management, operation, and development of surface transportation systems that will serve the mobility needs of people and freight (including accessible pedestrian walkways and bicycle transportation facilities) and that fosters economic growth and development within and between states and urbanized areas, while minimizing transportation-related fuel consumption and air pollution in all areas of the state. This includes those areas subject to the metropolitan transportation planning requirements of 23 U.S.C. 134 and 49 U.S.C. 5303.

**23 C.F.R. 450 Subpart C**

**Metropolitan Transportation Planning and Programming**

This rule revises the regulations governing the development of metropolitan transportation plans and programs for urbanized areas and state transportation plans.

**49 C.F.R. 21**

**Effectuation of Title VI of the Civil Rights Act of 1964**

The purpose of this part is to effectuate the provisions of Title VI of the Civil Rights Act of 1964 to the end that no person in the United States shall, on the grounds of race, color, or national origin, be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under any program or activity receiving federal financial assistance from the Department of Transportation.
23 U.S.C. 128
Public Hearings
This section establishes requirements for state transportation departments to certify that public hearings have been held, or the opportunity for public hearings has been afforded, for the following:

- Any proposed federal-aid highway project involving the bypassing of, or going through any city, town or village, either incorporated or unincorporated
- Any Interstate system project

23 U.S.C. 139
Efficient Environmental Reviews for Project Decisionmaking
This section of the U.S.C. requires the development of a coordination plan for all projects that require the preparation of an EIS and those involving preparation of an EA under NEPA. The coordination plan is prepared to define the process to be used by the lead agencies to communicate information about the environmental document, how information will be gathered from the public and agencies, and how information provided will be considered in the environmental review process.

AASHTO Practitioner’s Handbook 05 Using the SAFETEA-LU Environmental Review Process
https://environment.transportation.org/center/products_programs/practitioners_handbooks.aspx
Section 6002 of SAFETEA-LU established an environmental review process for highway and transit projects intended for better, faster, and more efficient environmental review. This process is included in 23 U.S.C. This handbook is intended to help ensure compliance with Section 139.

FHWA SAFETEA-LU Environmental Review Process Final Guidance
https://fhwa.dot.gov/hep/guidance/section6002/page00.cfm
This guidance includes useful information for implementing the requirements in 23 U.S.C. 139.

Executive Order 12898
Federal Actions to Address Environmental Justice in Minority Populations and Low-Income Populations
This requires each federal agency to achieve environmental justice by identifying and addressing, as appropriate, disproportionately high and adverse human health or environmental effects of its programs and activities on minority populations and low-income populations. MDT must comply with USDOT’s Final Order to Address Environmental Justice in Minority Populations and Low-Income Populations, which specifically requires that “procedures shall be established, or expanded, as necessary, to provide meaningful opportunities for public involvement by members of minority and low-income populations during the planning and development of programs, policies, and activities” in addition to being addressed through many federal mandates including Title VI of the Civil Rights Act of 1964 and NEPA.

Executive Order 13166
Improving Access to Services for People with Limited English Proficiency
https://fhwa.dot.gov/civilrights/programs/lep.cfm
This requires federal agencies to examine the services they provide, identify any need for services to those with limited English proficiency, and develop and implement a system to provide those services. USDOT Guidance Limited English Proficiency document, FHWA Limited English Proficiency A Federal Interagency website https://www.lep.gov, and FHWA Report How to Engage Low-Literacy and Limited-English-Proficiency Populations in Transportation Decisionmaking, https://fhwa.dot.gov/planning/publications/low_limited/index.cfm, address improving access to federally-conducted and federally-assisted programs and activities for persons who, as a result of national origin, are limited in English proficiency.
Executive Order 13175
Consultation and Coordination with Indian Tribal Governments
https://fhwa.dot.gov/tribal/references/wo13175.htm
This order calls for the establishment of regular and meaningful consultation and collaboration with tribal officials in the development of policies that have tribal implications.

American with Disabilities Act (ADA) of 1990
https://ada.gov/2010_regs.htm
This act encourages the involvement of people with disabilities in the development and improvement of transportation and para-transit plans and services. The ADA prohibits discrimination and ensures equal opportunity for persons with disabilities in employment, state and local government services, public accommodations, commercial facilities, and transportation. This includes providing accommodations for persons with disabilities for all public involvement activities, including access to meetings, as well as, effectively communicating with people who have hearing, vision, or speech disabilities.

AASHTO Practitioner’s Handbook 05 Utilizing Community Advisory Committees for NEPA
https://environment.transportation.org/center/products_programs/practitioners_handbooks.aspx
A community advisory committee (CAC) is a group of stakeholders that meets regularly to discuss study-related issues or concerns during project development. CAC members serve as liaisons between the community they represent and the project study team. This handbook outlines the role of a CAC in the NEPA decision-making process and provides recommendations for a successful CAC.

FHWA Public Involvement Techniques for Transportation Decision-Making
https://fhwa.dot.gov/planning/public_involvement/publications/techniques/
This publication provides guidance on designing and implementing a public involvement program. It also provides information on a wide range of public involvement techniques.

Montana Business Process to Link Planning Studies and NEPA/MEPA Reviews
mdt.mt.gov/publications/docs/brochures/corridor_study_process.pdf
This document provides guidance on linking MDT transportation planning processes and NEPA/MEPA processes as provided for in SAFETEA-LU and 23 C.F.R. 450, Appendix A Linking the Transportation Planning and NEPA Processes.

MDT Environmental Manual
The MDT Environmental Manual provides guidance to department staff and consultant personnel performing environmental investigations and preparing environmental documents for department projects.

Non-Metropolitan Local Official Consultation Process
mdt.mt.gov/publications/docs/manuals/consultation_process.pdf
This document provides guidance for including non-metropolitan local official participation in the statewide transportation planning process and development of the statewide transportation improvement program.
Developing an efficient transportation system where projects move forward smoothly starts with the identification of all stakeholders and others affected by MDT’s actions. Once those parties are identified, effort must be taken to inform and engage those affected in the planning process. This appendix outlines methods that can be used to accomplish this. The following includes some approaches that may be used to engage the public and can be adapted to suit the project or affected parties being reached.

Once the appropriate public involvement methods are identified, the message delivered is equally as important. Messaging should be easy for the average person to understand and explain possible effects and why the public should provide input.

Staff with the applicable skills should be charged with carrying out the appropriate method for public involvement. Staff can and should develop skills in public speaking, conflict resolution, dealing with difficult people, media training, and other interpersonal communications skills. When deemed necessary and advantageous for MDT and stakeholders, a neutral party may be contracted to conduct public involvement.

When preparing to utilize public involvement activities, keep in mind the ADA language that must be on all printed material. Additionally, videos must be closed captioned or provide a corresponding script. Per MCA 18-7-306, printed material, with some exceptions, must also contain the cost per copy to produce and distribute. Contact MDT’s ADA coordinator and the public involvement coordinator for more information.

Opportunities to inform and engage the public and stakeholders continue to expand through virtual public involvement techniques. Online videos, podcasts, crowdsourcing, maps, and other interactive forums may create efficiencies in how information is disseminated and how input is collected and considered. New techniques are rapidly being developed and should be considered to assess usefulness in the department’s public involvement activities.

**Advisory Committees** – An advisory committee, also referred to as a community advisory committee, may be formed as a means of facilitating information exchange regarding a proposed project. Advisory committees are not decision-making bodies but can provide an important two-way communication link between MDT and the public. Meetings between MDT representatives and the advisory committee are an opportunity for detailed discussion of issues and concerns in a small group setting. The advisory committee members then communicate the information from the meetings to various segments of the affected community. This promotes better public understanding of difficult issues.

To implement this option, the lead unit submits a request to the DA to establish an advisory committee for a project. Typically, this request is made in the early stages of project development. In response to the request, the DA invites local citizen volunteers representing constituent groups in the affected community to serve on an advisory committee. On projects with unique problems or circumstances, the DA may also invite local representatives of state, tribal, and federal agencies to participate. The DA ensures membership on the advisory committee includes a balanced group that will represent all sides of anticipated issues. The DA outlines the committee’s role, so all participants understand their function. See AASHTO Practitioner’s Handbook 05 Utilizing Community Advisory Committees for NEPA Studies for additional guidance.

**Direct Mail** – This method is a targeted way to reach stakeholders and affected parties with detailed information. Mailing lists should be updated regularly. Mailing lists can be developed through land ownership records, tax records, field inspection of the proposal area, active interest groups, local and tribal officials, and those who have previously indicated interest in the project or transportation projects in general. Direct mail can take many forms including:

- **Letters** – Letters can be a personalized communication with information specific to groups or landowners. Information can detail how the proposed project may impact the recipient. The letter may include, but is not necessarily limited to, brief history of the project, information on an upcoming meeting/hearing, and a contact person for further information. A map or other information about the project may be included.
**Newsletter** – A newsletter can be a valuable tool, but it must be well-planned in advance and coordinated for proper impact. The newsletter is more effective if used as a continuing process on a large, complex project. At least one-month preparation should be allowed for writing, layout and graphics, editing, and printing. Additional time should be allowed for distribution and returned mail. In addition, many organizations have newsletters distributed to their members/constituents. These can be valuable in reaching stakeholders and affected parties. Contacting the organization for deadlines and submission requirements is recommended prior to submitting an article.

**Postcards** – Postcards are an inexpensive and effective way to directly reach those affected or interested in a project.

**Interdisciplinary Teams** – An interdisciplinary team can be useful in identifying, analyzing, and addressing issues and impacts associated with proposed projects. The interdisciplinary team is composed of cooperating agency representatives, individuals with needed expertise, and representatives of groups and organizations with special expertise. Bringing together cooperating agency representatives, experts, and knowledgeable group representatives affords the opportunity for these parties to gain a sound understanding of a project’s purpose and need and contributes information and ideas for consideration in project development. For projects subject to the requirements of 23 U.S.C. 139 the coordination with participating agencies accomplishes similar objectives to those addressed through establishment of an interdisciplinary team.

To implement this option, either the lead unit initiates a request to ESB to establish an interdisciplinary team, or ESB determines an interdisciplinary team would be beneficial. ESB consults with FHWA and the district in establishing an interdisciplinary team for a proposed project.

**Internet** – Websites are an excellent tool to reach a broader cross-section of the public. Project-specific sites may contain information such as announcements, publications, project information, and study updates. Using a website as a public involvement tool can also be cost effective. Website addresses should be included on any correspondence and on all print material. Websites should, at a minimum, contain the following information:

- Contact information (mailing address, phone, fax, and e-mail)
- Project Manager contact information (name and e-mail)
- Meeting calendars and agendas (notice of public hearings, workshops)
- Description of proposed project
- Maps or visualization tools
- Relevant documents
- Links to related agencies
- Comment/question/survey form

**News Media** – Utilizing the news media in a community is an effective way to reach a large audience. There are several ways to utilize the media.

**News Release** – An effective news release can get the public’s attention, provide useful information (e.g., on the nature of the project and why it is being proposed), and set a tone that encourages open communication about the project. Subjects for news releases can include meeting and hearing announcements, project schedules, funding milestones and updates, and meeting outcomes. The lead unit coordinates as necessary with other MDT units, the DA, and the public involvement coordinator for review and distribution.

**Media Interviews** – Depending on the size and importance of a project, a media outlet may pursue an interview with a subject matter expert. Determining who this is should be based on knowledge of the project and skill in working with the media. Television and radio talk-shows can be pursued. Coordinate with the PIO.
Media Kit – A media kit is a way to disseminate facts and information about the project to numerous reporters. The kit may contain a map or visualization of the proposed project and fact sheets including unique or interesting aspects that make the project newsworthy. The kit can be printed or electronic. Media kits are a quick and convenient reference tool for reporters. Coordinate with the PIO.

Story Pitch – When project developments occur that are of general interest to the public, contacting local media news editors is a quick and often easy way to attract attention to the project. Newspaper and television news outlets are often interested in subject matter that can be visually interesting. Coordinate with the PIO prior to contacting media outlets.

Editorial Board – Major newspapers generally have an editorial board that meets regularly to discuss the latest news and opinion trends and discuss what the newspaper’s stand should be on issues. Meeting with an editorial board allows MDT to provide background information and answer questions about projects and issues. Coordinate with the PIO prior to contacting editorial boards.

Paid Media – Buying advertisements (display and electronic ads, legal notices, radio/television spots, etc.) guarantees the message will be published or broadcast. News releases may or may not be used by the media. Work with the public involvement coordinator to determine the best means for proceeding with paid media.

Outdoor Signage – Billboards and department variable message signs can be appropriate to disseminate information. The information delivered is limited.

Personal Contacts – Meeting with local businesses or residents to answer questions and identify potential construction issues is helpful to avoid misunderstandings and develop a dialogue with those potentially affected by the project.

Personal Contacts with Landowners – Contacts with each potentially affected landowner early in project development before any fieldwork begins can help to explain the purpose and need for the project, the project development process, and right-of-entry requirements. Landowners may also be provided information and Title VI pamphlet from Civil Rights Bureau, discuss how the project might affect the landowners’ property, and determine if plans for use of the property might affect the project. Contact is implemented by right-of-way agents.

Personal Contacts with Officials, Groups, and Individuals – Personal contacts with interested and affected officials, groups, and individuals, including both those who support and those who oppose the proposed project, can be very effective in facilitating open exchange of information. These contacts can promote a better understanding of the project and assist in identifying issues, concerns, options, and constraints. Less formal meetings are sometimes more productive than formal ones. The most important thing is to share information and elicit ideas and comments. This option is implemented by the DA.

Contacts with Potentially Interested and/or Affected Agencies, Officials, and Organizations for Environmental Impact Evaluations – Contacts are initiated early in project development either in person, telephone, or in writing. Contacts help identify and assess project-related environmental impacts and are essential in gathering and evaluating information associated with proposed projects.

Public Information Meetings – Public information meetings (public meetings) are held to exchange information and seek input. This is an opportunity to assemble a large and diverse group at one time in an informal setting to discuss the status of the project, options, and remaining decisions. The DA and lead unit coordinate with other affected MDT units and initiate meeting arrangements. The DA and lead unit work with the public involvement coordinator to determine a suitable format. The DA, lead unit, and public involvement coordinator ensure appropriate consideration is given to accommodate persons with special needs (disabilities, limited English proficiency, etc.), notice of Title VI rights, and use of Title VI pamphlet from Civil Rights Bureau. Public information meetings are often conducted as an open forum with exhibits and staff to answer questions.
Public Hearings – Public hearings are used to formally gather comments from interested parties for the public record. Public hearings are required for certain types of projects. They are also held if FHWA and/or MDT determine a public hearing beneficial. Hearings have a structured format and may be accompanied by an open-forum/open-house. The hearing may include presentations and then afford the public an opportunity to comment on the project/proposed action. Comments for public record are recorded at the hearing when individuals speak during the comment period, or possibly directly to a court reporter or into a recording device. Comments for public record are also collected during the announced comment period through means such as Internet and by mail. Formal comments are addressed in the environmental document.

Ensure appropriate notice of the hearing is provided and comments are accurately recorded. Use the Title VI public information meeting/hearing checklist and pamphlet from Civil Rights Bureau. FHWA requires submission of a transcript for each public hearing, all written statements gathered during the comment period, and a certification that a required hearing or hearing opportunity was offered. The lead unit coordinates with the DA, other affected MDT units, and the public involvement coordinator in making hearing arrangements and ensuring consideration of accommodations for special needs. The public involvement coordinator prepares public notices and facility arrangements.

Scoping – Section 1501.7 CEQ describes scoping as an early and open process for determining issues to be addressed and for identifying significant issues related to a proposed action. Scoping is required for projects involving preparation of an EIS and may be applied on EAs. The objective is to define and refine the focus of the environmental analyses early in the process. Refer to the MDT Environmental Manual.

Social Media – Social media may be used to share information, connect with the public, and increase awareness and participation. Consult the PIO to pursue this. Social media efforts should be coordinated with the PIO.

Speakers Bureaus – Public presentations are a good method to inform groups about proposed projects. Identifying the appropriate staff to make presentations, developing the message and presentation, and developing visual aids are important. Target groups appropriate and beneficial to helping disseminate information through the community.

Transcripts – For controversial projects or those involving an EIS, prepare a transcript of the public hearing and minutes of any other meetings. The public involvement coordinator will arrange for recording and transcription.

Video Techniques – Videos of public information meeting presentations or videos specifically designed for the Internet can explain the project, how to get more information, and how to provide input. Contact the ADA coordinator for guidance on closed captioning.
Alternative accessible formats of this document will be provided on request. Persons who need an alternative format should contact the Human Resources and Occupational Safety Division, Department of Transportation, 2701 Prospect Avenue, PO Box 201001, Helena, MT 59620. Telephone 406-444-9229. Those using a TTY may call 1(800)335-7592 or through the Montana Relay Service at 711.

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