METHODS OF SAMPLING AND TESTING
MT 214-09
RECOMMENDED PRACTICE FOR THE CLASSIFICATION OF
SOILS AND SOIL-AGGREGATE MIXTURES
FOR HIGHWAY CONSTRUCTION PURPOSES
(Modified AASHTO M 145)

1 Scope:

1.1 This recommended practice describes a procedure for classifying soils into seven groups based on laboratory determination of particle-size distribution, liquid limit and plasticity index. Evaluation of soils within each group is made by means of a "group index," which is a value calculated from an empirical formula. The group classification, including group index, should be useful in determining the relative quality of the soil material for use in earthwork structures, particularly embankments, sub-grades, sub-bases, and bases. However, for the detailed design of important structures, additional data concerning strength or performance characteristics of the soil under field conditions will usually be required.

2 Referenced Documents:

2.1 AASHTO:
T 11 Materials Finer Than 75-μm (No. 200) Sieve in Aggregates by Washing
T 27 Sieve Analysis of Fine and Coarse Aggregates
T-88 Particle Size Analysis of Soils
M 145 Classification of Soil and Soil-Aggregate Mixtures for Highway Construction Purposes
T-146 Wet Preparation of Disturbed Soil Samples for Test

ASTM:
D 1140 Amount of Material in Soils Finer Than the No. 200 (75 μm) Sieve

MT Manual:
MT-200 Dry Preparation of Disturbed Soil and Soil Aggregate Samples for Test
MT-202 Sieve Analysis of Fine and Coarse Aggregates
MT-208 Determining the Plastic Limit and Plasticity Index of Soils
MT-208 Determining the Liquid Limit of Soils

Note 1 - Either AASHTO T 88, or T 11 and T 27, or ASTM D 1140 will be used to determine the particle size distribution of soils or soil-aggregate mixtures as a basis for classification.

3 Classification:

3.1 The classification is made by using the test limits and group index values shown in Table 1. If a more detailed classification is desired, a further subdivision of the groups shown in Table 1 may be made. An example of the classification with such subgroups as shown in Table 1 may be made. An example of the classification with such subgroups is shown in Table 2. The liquid limit and plasticity index ranges for the A-4, A-5, A-6, and A-7 soil groups are shown graphically in Figure 2.

3.2 Classification Procedure - With required test data available, proceed from left to right in Table 1 or Table 2 and the correct group will be found by process of elimination. The first group from the left into which the test data will fit is the correct classification. All limiting test values are shown as whole numbers. If fractional numbers appear on test reports, convert to nearest whole number for purposes of classification. Group index values should always be shown in parentheses after group symbol as: A-2(3), A-4(5), A-6(12), A-7-5(17), etc.
### TABLE 1 – Classification of Soils and Soil-Aggregate Mixtures

<table>
<thead>
<tr>
<th>General Classification</th>
<th>Granular Materials (35% or Less Passing No. 200)</th>
<th>Silt – Clay Materials (More than 35% Passing No. 200)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Group Classification</td>
<td>A-1</td>
<td>A-3</td>
</tr>
<tr>
<td>Sieve Analysis, percent passing:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2.00 mm (No. 10)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>50 max.</td>
<td>51 min.</td>
<td></td>
</tr>
<tr>
<td>0.425 mm (No. 40)</td>
<td>50 max.</td>
<td>51 min.</td>
</tr>
<tr>
<td>35 max.</td>
<td>36 min.</td>
<td>36 min.</td>
</tr>
<tr>
<td>Characteristics of fraction passing No. 40</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Liquid Limit</td>
<td></td>
<td></td>
</tr>
<tr>
<td>40 max</td>
<td>41 min</td>
<td>40 max</td>
</tr>
<tr>
<td>Plasticity Index</td>
<td></td>
<td></td>
</tr>
<tr>
<td>6 max</td>
<td>NP</td>
<td></td>
</tr>
<tr>
<td>General rating as subgrade</td>
<td>Excellent to Good</td>
<td>Fair to Poor</td>
</tr>
</tbody>
</table>

- The placing of A-3 before A-2 is necessary in the “left to right elimination process” and does not indicate superiority of A-3 over A-2.
- See Table 2 for values.

### TABLE 2 – Classification of Soils and Soil-Aggregate Mixtures

<table>
<thead>
<tr>
<th>General Classification</th>
<th>Granular Materials (35% or Less Passing the 0.075)</th>
<th>Silt – Clay Materials (More than 35% Passing No. 200)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Group Classification</td>
<td>A-1</td>
<td>A-2</td>
</tr>
<tr>
<td>Sieve Analysis, percent passing:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2.00 mm (No. 10)</td>
<td>50 max.</td>
<td>51 min.</td>
</tr>
<tr>
<td>0.425 mm (No. 40)</td>
<td>30 max</td>
<td>50 max</td>
</tr>
<tr>
<td>0.075 mm (No. 200)</td>
<td>15 max</td>
<td>25 max</td>
</tr>
<tr>
<td>Characteristics of fraction passing No. 40</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Liquid Limit</td>
<td></td>
<td></td>
</tr>
<tr>
<td>40 max</td>
<td>41 min</td>
<td>40 max</td>
</tr>
<tr>
<td>Plasticity Index</td>
<td></td>
<td></td>
</tr>
<tr>
<td>6 max</td>
<td>NP</td>
<td>10 max</td>
</tr>
<tr>
<td>Usual types of significant constituent materials</td>
<td>Stone fragments, gravel and sand</td>
<td>Fine sand</td>
</tr>
<tr>
<td>General rating as subgrade</td>
<td>Excellent to Good</td>
<td>Fair to Poor</td>
</tr>
</tbody>
</table>

- Plasticity index of A-7-5 subgroup is equal to or less than LL minus 30. Plasticity index of A-7-6 subgroup is greater than LL minus 30. (See figure 2.)
4 Definitions of Gravel, Sand, and Silt-Clay:

4.1 The terms "gravel," "coarse sand," and "silt-clay," as determinable from the minimum test data required in this classification arrangement, and as used in subsequent word descriptions, are defined as follows:

4.1.1 Gravel - Material passing sieve with 75 mm (3-in.) square openings and retained on the 2.00 mm (No. 10) sieve.

4.1.2 Coarse Sand - Material passing the 2.00 mm (No. 10) sieve and retained on the 0.425 mm (No. 40) sieve.

4.1.3 Fine Sand - Material passing the 0.425 mm (No. 40) sieve and retained on the 0.075 mm (No. 200) sieve.

4.1.4 Silt Clay (combined silt and clay) - Material passing the 0.075 mm (No. 200) sieve.

4.1.5 Boulders and Cobbles [retained on 75 mm (3 in.) sieve] should be excluded from the portion of the sample to which the classification is applied, but the percentage of such material, if any, in the sample should be recorded.

Note - The revised specification is identified as Interim Specification M 149.91 I.

4.1.6 The term "silty" is applied to fine material having plasticity index of 10 or less and the term "clayey" is applied to fine material having plasticity index of 11 or greater.

5 Description of Classification Groups:

5.1 Granular Materials - Containing 35 percent or less passing 0.075 mm (No. 200) sieve, Note 2.

5.1.1 Group A-1 - The typical material of this group is a well-graded mixture of stone fragments or gravel, coarse sand, fine sand and a nonplastic or feebly plastic soil binder. However, this group includes also stone fragments, gravel, coarse sand, volcanic cinders, etc. without soil binder.

5.1.1.1 Subgroup A-1-a includes those materials consisting predominantly of stone fragments or gravel, either with or without a well-graded binder of fine material.

5.1.1.2 Subgroup A-1-b includes those materials consisting predominantly of coarse sand either with or without a well-graded soil binder.

5.1.2 Group A-3 - The typical material of this group is fine beach sand or fine desert blow sand without silty or clay fines or with a very small amount of nonplastic silt. The group includes also stream-deposited mixtures of poorly-graded fine sand and limited amounts of coarse sand and gravel.

5.1.3 Group A-2 - This group includes a wide variety of "granular" materials which are border-line between the materials falling in Groups A-1 and A-3 and silt-clay materials of Groups A-4, A-5, A-6, and A-7. It includes all materials containing 35 percent or less passing the 0.075 mm (No. 200) sieve which cannot be classified as A-1 or A-3, due to fines content or plasticity, or both, in excess of the limitations for those groups.

5.1.3.1 Subgroups A-2-4 and A-2-5 include various granular materials containing 35 percent or less passing the 0.075 mm (No. 200) sieve and with a minus 0.425 mm (No. 40) portion having the characteristics of the A-4 and A-5 groups. These groups include such materials as gravel and coarse sand with silt contents or plasticity indexes in excess of the limitations of Group A-1, and fine sand with nonplastic silt content in excess of the limitations of Group A-3.

5.1.3.2 Subgroups A-2-6 and A-2-7 include materials similar to those described under Subgroups A-2-4 and A-2-5, except that the fine portion contains plastic clay having the characteristics of the A-6 or A-7 group.
5 Description of Classification Groups: (continued)

Note 2 - Classification of materials in the various groups applies only to the fraction passing the 75 mm (3-in.) sieve. Therefore, any specifications regarding the use of A-1, A-2, or A-3 materials in construction should state whether boulders retained on the 75 mm (3-in.) sieve are permitted.

5.2 Silt-Clay Materials - Containing more than 35 percent passing the 0.075 mm (No. 200) sieve.

5.2.1 Group A-4 - The typical material of this group is a nonplastic or moderately plastic silty soil usually having the 75 percent or more passing the 0.075 mm (No. 200) sieve. The group includes also mixtures of fine silty soil and up to 64 percent of sand and gravel retained on 0.075 mm (No. 200) sieve.

5.2.2 Group A-5 - The typical material of this group is similar to that described under Group A-4, except that it is usually of diatomaceous or micaeous character and may be highly elastic as indicated by the high liquid limit.

5.2.3 Group A-6 - The typical material of this group is a plastic clay soil usually having 75 percent or more passing the 0.075 mm (No. 200) sieve. The group includes also mixtures of fine clayey soil and up to 64 percent of sand and gravel retained on the 0.075 mm (No. 200) sieve. Materials of this group usually have high volume change between wet and dry states.

5.2.4 Group A-7 - The typical material of this group is similar to that described under Group A-6, except that it has the high liquid limits characteristic of the A-5 group and may be elastic as well as subject to high volume change.

5.2.4.1 Subgroup A-7-5 includes those materials with moderate plasticity indexes in relation to liquid limit and which may be highly elastic as well as subject to considerable volume change.

5.2.4.2 Subgroup A-7-6 includes those materials with high plasticity indexes in relation to liquid limit and which are subject to extremely high volume change.

Note 3 - Highly organic soils (peat or much) may be classified in an A-8 group. Classification of these materials is based on visual inspection, and is not dependent on percentage passing the 0.075 mm (No. 200) sieve, liquid limit or plasticity index. The material is composed primarily of partially decayed organic matter, generally has a fibrous texture, dark brown or black color and odor of decay. These organic materials are unsuitable for use in embankments and sub-grades. They are highly compressible and have low strength.

6 Group Index:

6.1 The group index is calculated from the following formula:

\[
\text{Group index} = \frac{(F-35)[0.2 + 0.005(LL-40)] + 0.01(F-15)(PI-10)}{100},
\]

in which,

\[
F = \text{percentage passing 0.075 mm (No. 200) sieve, expressed as a whole number. This percentage is based only on the material passing the 75 mm (3-in.) sieve.}
\]

\[
LL = \text{liquid limit}
\]

\[
PI = \text{plasticity index}
\]

6.1.1 When the calculated group index is negative, the group index shall be reported as zero (0).

6.1.2 The group index should be reported to the nearest whole number.

6.2 Figure 1 may be used in estimating the group index, by determining the partial group index due to liquid limit and that due to plasticity index, then obtaining the total of the two partial group indexes.
6 Group Index: (continued)

6.3 When calculating the group index of A-2-6 and A-2-7 subgroups, only the PI portion of the formula (or of Figure 1) shall be used.

6.4 The following are examples of calculations of the group index:

6.4.1 Assume that an A-6 material has 55 percent passing the 0.075 mm (No. 200) sieve, liquid limit of 40, and plasticity index of 25. Then,

\[
\text{Group} = (55-35)[0.2 + 0.005(40-40)] + 0.01(55-15)(25-10) = 4.0 + 6.0 = 10
\]

6.4.2 Assume that an A-7 material has 80 percent passing the 0.075 mm (No. 200) sieve, liquid limit of 90, and plasticity index of 50. Then,

\[
\text{Group index} = (80-35)[0.2 + 0.005(90-40)] + 0.01(80-15)(50-10) = 20.3 + 26.0, \text{ or } 46.3
\]

6.4.3 Assume that an A-4 material has 60 percent passing the 0.075 mm (No. 200) sieve, liquid limit of 25, and plasticity index of 1. Then,

\[
\text{Group index} = (60-35)[0.2 + 0.005(25-40)] + 0.01(60-15)(1-10) = 25 \times (0.2 - 0.075) + 0.01(45)(-9) = 3.1 - 4.1 = 4.1 = -1.0
\]

Report as 0.

6.4.4 Assume that an A-2-7 material has 30 percent passing the 0.075 mm (No. 200) sieve, liquid limit of 50, and plasticity index of 30. Then,

\[
\text{Group index} = 0.01(30-15)(30-10) = 3.0 \text{ or } 3 \text{ (Note that only the PI portion of formula was used.)}
\]
Group Index (GI) = (F \cdot 35) \cdot 0.2 + 0.005(\text{LL}-40) + 0.01(F-15)(\text{PI}-10)

where $F =$ Percent Passing 0.075-mm sieve, $\text{LL} =$ Liquid Limit,
and $\text{PI} =$ Plasticity Index.

When working with A-2.6 and A-2.7 Subgroups, the Partial Group Index (PGI)
is determined from the PI only.

When the combined Partial Group Indices are negative, the Group Index
should be reported as zero.

Example:
82 Percent Passing 0.075-mm Sieve
$\text{LL} = 38$
$\text{PI} = 21$

Then:
$\text{PGI} = 8.9$ for $\text{LL}$
$\text{PGI} = 7.4$ for $\text{PI}$
$\text{GI} = 16$

Figure 1 – Group Index Chart
7 Basis for Group Index Formula:

7.1 The empirical group index formula devised for approximately within-group evaluation of the "clayey granular materials" and the "silt-clay materials" is based on the following assumptions:

7.1.1 Materials falling within Groups A-1-a, A-1-b, A-2-4, A-2-5, and A-3 are satisfactory as subgrade when properly drained and compacted under moderate thickness pavement (base and/or surface course) of a type suitable for the traffic to be carried, or can be made satisfactory by additions of small amounts of natural or artificial binders.

7.1.2 Materials falling within the "clayey granular" Groups A-2-6 and A-2-7 and the "silt-clay" Groups A-4, A-5, A-6, and A-7 will range in quality as sub-grade from the approximate equivalent of the good A-2-4 and A-2-5 sub-grades to fair and poor sub-grades requiring a layer of sub-base material or an increased thickness of base course over that required under 7.1.1 in order to furnish adequate support for traffic loads.

7.1.3 The assumed critical minimum percentage passing the 0.075 mm (No. 200) sieve is 35 neglecting plasticity, and 15 as affected by plasticity indexes greater than 10.

7.1.4 Liquid limits of 40 and above are assumed to be critical.

7.1.5 Plasticity indexes of 10 and above are assumed to be critical.

7.1.6 For soils that are non-plastic and when the liquid limit cannot be determined, the group index shall be considered zero (0).
7 Basis for Group Index Formula: (continued)

7.2 There is no upper limit of group index value obtained by use of the formula. The adopted critical values of percentage passing the 0.075 mm (No. 200) sieve, liquid limit and plasticity index, are based on an evaluation of sub-grade, sub-base and base course materials by several highway organizations that use the tests involved in this classification system.

7.3 Under average conditions of good drainage and thorough compaction, the supporting value of a material as sub-grade may be assumed as an inverse ratio to its group index, that is, a group index of 0 indicates a "good" sub-grade material and a group index of 20 or greater indicates a "very poor" sub-grade material.